

# The Role of Cytotoxic Therapy with Hematopoietic Stem Cell Transplantation in the Treatment of Diffuse Large B Cell Lymphoma: Update of the 2001 Evidence-Based Review

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Clinical research published since the 2001 evidence-based review on the role of hematopoietic stem cell transplantation (SCT) in the treatment of diffuse large B cell lymphoma (DLBCL) in adults is presented and critically evaluated in this update. Treatment recommendations that remain unchanged from the original review include: (1) autologous SCT as salvage therapy is recommended for patients with chemosensitive relapsed DLBCL; and (2) autologous SCT is not recommended for patients who achieve a partial response to an abbreviated induction regimen. New treatment recommendations based on new published data include: (1) autologous SCT as first-line therapy is not recommended for any IPI group; (2) planned tandem or multiple sequential autologous SCT is not recommended; (3) peripheral blood is the standard stem cell source for autologous SCT; (4) age is not a contraindication for autologous SCT, although outcomes in older adults are not as good as in younger adults. There are insufficient data to make recommendations on the routine use of rituximab maintenance after autologous SCT, autologous versus allogeneic SCT, fewer versus more cycles of induction therapy prior to autologous SCT, or the use of reduced intensity versus myeloablative conditioning regimens. Areas of needed research in the treatment of DLBCL with SCT were identified and are presented in the review.

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**KEY WORDS:** Diffuse large B cell lymphoma, Hematopoietic stem cell transplantation, Systematic evidence-based review, Therapy, Adult

## INTRODUCTION

In 1999, the American Society for Blood and Marrow Transplantation (ASBMT) began the development of systematic evidence-based reviews (EBR) and posi-

tion statements on the effectiveness of autologous and allogeneic hematopoietic stem cell transplantation (SCT) for specific diseases. In 2009, the ASBMT EBR Steering Committee determined that previously published reviews should be updated regularly at approximately 5-year intervals. This constitutes the first EBR in the series to be updated.

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## UPDATE OF THE 2001 DIFFUSE LARGE B CELL LYMPHOMA (DLBCL) EVIDENCE-BASED REVIEW

It is important to note that the study inclusion criteria, the systems for grading the quality and strength of the evidence and the strength of the treatment recommendations, and the format of the reviews have changed considerably since the original DLBCL EBR was published. The DLBCL update adheres to the methodology and grading systems presented in [Appendix A](#) (online only). In the original DLBCL EBR [1], each article was summarized in detail in the

**Table 1. Summary of Updated Treatment Recommendations for Diffuse Large B Cell Lymphoma**

Indication for SCT	Original versus New Rec	Tx Rec Grade*	Highest Level of Evidence†	Ref. No.‡	Treatment Recommendation Comments
Autologous SCT versus nontransplantation as first-line therapy	New recommendation based on new data published since the original review	A	1++	[2-7, 9-11] (Table 2)	Autologous SCT as first-line therapy is not recommended for any IPI group at this time. None of the published studies included rituximab in their protocols. Ongoing studies, which include rituximab, may change this recommendation.
Autologous SCT versus nontransplantation as therapy for patients who achieve a partial response to 3 cycles of induction	Original recommendation is unchanged with no new data published since the original review	A	1+	[18] (Table 3)	Autologous SCT is not recommended for patients who achieve a partial response to an abbreviated (3 cycles) induction regimen.
Autologous SCT versus nontransplantation as salvage therapy	Original recommendation is unchanged with no new data published since the original review	A	1+	[19] (Table 4)	Autologous SCT provides a significant survival benefit and is recommended as part of salvage therapy for patients with chemosensitive relapsed DLBCL.
<b>TRANSPLANTATION TECHNIQUES</b>					
Autologous SCT as salvage therapy for patients aged >60 years	New recommendation based on new data published since the original review	B	2+	[20-22] (Table 5)	Older age (>60 years), in and of itself, is not a contraindication for autologous SCT as long as other SCT eligibility criteria are met. No upper age limit has been defined. However, outcomes (TRM, relapse, survival) in older adults are not as good as in younger adults.
Autologous versus ablative allogeneic SCT	No treatment recommendation based on new data published since the original review		2++	[23] (Table 6)	Overall survival outcomes are equivalent for autologous and allogeneic SCT; neither option is recommended over the other. Autologous and allogeneic SCT have competing risks with regard to relapse and TRM. Comparison of these two techniques is biased by different patient selection criteria.
Bone marrow versus peripheral blood as the stem cell source for autologous SCT	New recommendation based on new data published since the original review	A	1++	[25] (Table 7)	Autologous PBSCT provides no survival benefit or improved tumor control compared to autologous BMT. However, PBSCT is safer and easier to use with faster engraftment and lower rate of deaths because of infection, hence peripheral blood is the standard for stem cell source. Of note, 98% of recent autologous SCTs use peripheral blood as the stem cell source.§
Rituximab as maintenance therapy after autologous SCT	No treatment recommendation based on new data published since the original review		1+	[26] (Table 7)	There are insufficient data to recommend routine post-autologous SCT maintenance with rituximab outside of a clinical trial. One randomized study with short follow-up reported a nonsignificant difference in EFS with rituximab as maintenance after autologous SCT.
Fewer versus more cycles of induction therapy prior to first-line autologous SCT	No treatment recommendation based on new data published since the original review		2++	[27] (Table 7)	Based on one study, there are insufficient data to make a treatment recommendation regarding fewer versus more cycles of induction therapy prior to first-line autologous SCT.
Multiple or tandem autologous SCT	New recommendation based on new data published since the original review	B	2+	[44-47] (Appendix C)	Based on evidence from phase II trials, planned tandem or multiple

(Continued)

Table 1. (Continued)

Indication for SCT	Original versus New Rec	Tx Rec Grade*	Highest Level of Evidence†	Ref. No.‡	Treatment Recommendation Comments
Reduced intensity versus myeloablative conditioning for allogeneic SCT	No treatment recommendation based on new data published since the original review		2+	[28] (Table 8)	sequential autologous SCTs are not recommended. Based on one study and expert opinion, RIC appears to be an acceptable alternative approach for selected patients who cannot tolerate a myeloablative regimen. However, longer follow-up is needed to clarify the competing risks of relapse and chronic GVHD and their impact on overall survival and quality of life. Comparison of these regimen intensities are biased by patient selection criteria which have changed over time.

BMT indicates bone marrow transplantation; DLBCL, diffuse large B cell lymphoma; EFS, event-free survival; GVHD, graft-versus-host disease; IPI, International Prognostic Index; PBSCT, peripheral blood stem cell transplantation; SCT, stem cell transplantation; TRM, treatment-related mortality.

\***Definitions: Grade of Recommendation** (Appendix A, Table 2, online only): (A) At least one meta-analysis, systematic review, or randomized controlled trial (RCT) rated as 1++, and directly applicable to the target population; or a systematic review of RCTs or a body of evidence consisting principally of studies rated as 1+, directly applicable to the target population, and demonstrating overall consistency of results; (B) A body of evidence including studies rated as 2++, directly applicable to the target population, and demonstrating overall consistency of results; or extrapolated evidence from studies rated as 1++ or 1+; (C) A body of evidence including studies rated as 2+, directly applicable to the target population and demonstrating overall consistency of results; or extrapolated evidence from studies rated as 2+; (D) Evidence level 3 or 4; or extrapolated evidence from studies rated as 2+.

†**Definitions: Levels of Evidence** (Appendix A, Table 1, online only): 1++, High-quality meta-analyses, systematic reviews of randomized controlled trials (RCTs), or RCTs with a very low risk of bias; 1+ Well-conducted meta analyses, systematic reviews of RCTs, or RCTs with a low risk of bias; 1— Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias; 2++, High-quality systematic reviews of case-control or cohort studies; or high-quality case-control or cohort studies with a very low risk of confounding, bias, or chance and a high probability that the relationship is causal; 2+ Well-conducted case control or cohort studies with a low risk of confounding, bias, or chance, and a moderate probability that the relationship is causal; 2— Case-control or cohort studies with a high risk of confounding, bias, or chance, and a significant risk that the relationship is not causal; 3 Nonanalytic studies (eg, case reports, case series); 4 Expert opinion.

‡The references listed represent the highest level of evidence used to make the treatment recommendation and are not inclusive of all evidence described in each section of the review.

§Based on CIBMTR data from 2002-2006.

text, accompanied by summary tables comparing patient outcomes. To streamline this update, a concise summary of each section will be provided in the text, whereas descriptions of the study design, patient population, and clinical outcomes of each article will be presented in detailed summary tables.

## TREATMENT RECOMMENDATIONS

In this DLBCL update, Table 1 contains the summary of consensus treatment recommendations made by the expert panel based on the summarized evidence. The consensus process is detailed in Appendix A (online only) and involves a teleconference during which panelists critically discuss the evidence for each section of the review and develop initial treatment recommendations according to the categories in Appendix A, Table 2.

Table 1 presents new treatment recommendations based on the DLBCL evidence published since 2000. In addition, the recommendations from the original EBR are incorporated into the table when applicable, regraded according to the schema in Appendix A, Table 2, and notated as to whether new evidence

strengthens, weakens, or does not change the original recommendation.

## AUTOLOGOUS SCT VERSUS NONTRANSPLANTATION THERAPY

### Autologous SCT versus Nontransplantation as First-line Therapy

Published since the original EBR are two meta-analyses and nine prospective, multicenter, randomized studies comparing the efficacy of full-course chemotherapy versus abbreviated (typically <4 cycles) and/or high-dose sequential chemotherapy regimens followed by autologous SCT as first-line treatment for patients with *de novo* DLBCL. Table 2 presents a detailed summary of the study designs, patient populations, and outcomes from this new evidence. Rituximab was not part of the protocol in any of these studies. Three Phase III studies from the original DLBCL EBR that compared autologous SCT versus nontransplantation therapy, and that met current inclusion criteria (full-length, peer-reviewed articles), are also presented in Table 2 according to the new format and grading criteria.

**Table 2. Autologous SCT versus Nontransplantation as First-Line Therapy for DLBCL**

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Treatment Group			
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P	Follow-up (Med, Range) and Pt. Outcomes	Control (n)	Transplant (n)	P
<b>UPDATE DATA (POST-2000 STUDIES)</b>										
<b>META-ANALYSES OF AUTOLOGOUS SCT VERSUS CHEMO AS FIRST-LINE THERAPY</b>										
[2] Greb 2007	<b>Chemo</b>	<b>Auto SCT</b>		<b>Chemo</b>	<b>Auto SCT</b>			<b>Chemo</b>	<b>Auto SCT</b>	
I++	Full or sequential therapy with variety of combination chemo regimens	Full induction, Abbreviated, or Sequential high-dose therapies	<i>Diagnoses de novo aggressive NHL</i>	100%	100%		Relative risk to achieve CR	RR 1.11 favoring Auto SCT (CI 1.04-1.18)		
Meta-analysis Search from 1990-1/2005 15 Randomized trials 11 Full-text; 4 Abstracts Summary data Total n = 2728		BM, PBSC, or both for Auto SCT					TRM (14 studies, N = 2555)	4.3% (55/1274)	5.7% (73/1281)	>.05
							HR for EFS (12 studies, n = 1795)	HR 0.92 (CI 0.80-1.05) No significant difference in EFS between Tx groups		
							HR for OS (14 studies, n = 2444)	HR 1.05 (CI 0.92-1.19) No significant difference in OS between Tx groups		
							<u>OS Subgroup Analysis by aalPI (12 studies)</u>			
							Good risk	HR 1.46 (CI 1.02-2.09) Impaired OS for SCT pts, P = .032		
							Poor risk	HR 0.95 (CI 0.81-1.11) No difference in OS by Tx		
[3] Strehl 2003	<b>Chemo</b>	<b>Auto SCT</b>		<b>Chemo</b>	<b>Auto SCT</b>			<b>Chemo</b>	<b>Auto SCT</b>	
I++	Full or sequential therapy with variety of combination chemo regimens	Full induction, Abbreviated, or Sequential high-dose therapies BM, PBSC, or both for Auto SCT	<i>Diagnoses de novo intermediate or high risk aggressive NHL</i>	100%	100%		Mortality	36.4% (406/1115)	36.9% (411/1113)	.80
							Heterogeneity among studies	$\chi^2 = 33$		.0003

(Continued)

Table 2. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Treatment Group			
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P	Follow-up (Med, Range) and Pt. Outcomes	Control (n)	Transplant (n)	P
Meta-analysis Search thru March, 2003 11 Randomized trials 9 Full-text; 2 Abstracts Summary data Total n = 2228							<i>Mortality based on Induction Intensity:</i>			
							Full course (2 studies, n = 655)	33.2% (111/334)	31.7% (105/331)	.70
							Shortened (n = 1341)	35.7% (238/667)	39.9% (269/674)	.12
							HDS (2 studies, n = 228)	47.4% (54/114)	30.1% (33/108)	.01
							<i>Mortality based on IPI (7 studies, n = 1280):</i>			
							I-H + H Risk	45.6% (273/651)	41.3% (287/629)	.40
							I-H + H Risk + Full course Induction (2 studies, n = 306)	49.7% (73/147)	35.2% (56/159)	.01
							I-H + H Risk + Shortened Induction (4 studies, n = 850)	44.3% (185/418)	44.7% (193/432)	.80

## STANDARD CHEMO VERSUS ABBREVIATED CHEMO + AUTOLOGOUS SCT

[4]	ACVBP	HDT + Auto PBSCT	Diagnoses de novo aggressive NHL	ACVBP	HDT + Auto PBSCT		(5 y, not stated)	ACVBP (181)	HDT + Auto PBSCT (189)
Gisselbrecht 2002							(ITT)		
I++	4x ACVBP + GF 2x MTX	1x CEOP + MTX 2x ECVBP + GF		100%	100%		Completed		
1993-1995	4x VP-16 + Ifo 2x Ara-C	BEAM	Hist. Subtypes			.07	Assigned Tx	Not stated	74%
GELA LNH93-3			DLBCL	62.5%	60%		Overall CR	64%	63%
Multictr (18)		Auto PBSC	Nonanaplastic				TRM	Not stated	1%
Prospective			T cell	10.5%	19%		5-year EFS	51 ± 8	39 ± 8
Randomized n = 370			Anaplastic T cell	10.5%	5%				
Med Age (range)			Lymphoblastic	4%	3%				
46 y (15-60)			Burkitt	0.5%	3%				.01

(Continued)

Table 2. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Follow-up (Med, Range) and Pt. Outcomes	Treatment Group		P
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	
			Diffuse, aggress, unclassifiable	12%	10%		5-year OS	60 ± 8	46 ± 8	.007
			B symptoms	67%	73%	.20	For aalPI ≥3			
			BM Involvement	26%	32%	.20	5-year EFS	47%	40%	.50
			Bulky Disease	48%	44%	.40	5-year OS	52%	48%	.90
			Risk Factors							
			age >40 y	62%	67%	.30				
			aalPI ≥3	35.5%	34%	.40				
			Stage ≥III	95%	94%	.50				
			LDH ≥Normal	91%	94%	.17				
			>1 Ex-nodal sites	54%	72%	.0004				
			PS ≥1	47%	45%	.40				
[5] Kaiser 2002	<b>CHOEP</b>	<b>CHOEP + Auto SCT</b>		<b>CHOEP</b>	<b>CHOEP + Auto SCT</b>	Not stated	(3.8 y, Not stated)	<b>CHOEP</b>	<b>CHOEP + Auto SCT</b>	
I++	5 × CHOEP	3 × CHOEP + GF	Diagnoses de novo aggressive NHL	100%	100%		(ITT)	(154)	(158)	
1990-1997 German HG NHL Multictr (71) Prospective Randomized n = 312 Med Age (range) 46 y (19-60)	(± RT for bulky dis.)	BEAM Auto PBSC or BM (± RT for bulky dis.)	Hist. Subtypes DLBCL PMBL Anaplastic LCL Lymphoblastic or Burkitt Peripheral T cell Other	61% 16% 10% 5% 4% 4%	58% 9% 9% 11% 3% 10%		Completed Assigned Tx Overall CR TRM 3-year EFS 3-year OS Secondary Disease	89% 62.9% 2.6% total† 49% 63% 2.5%	65% 69.9% 59% 62% 1%	.22 .68
[6] Kluijn-Nelemans 2001	<b>Chemo</b>	<b>HDT + Auto BMT</b>		<b>Chemo</b>	<b>HDT + Auto BMT</b>	Not stated	(4.4 y, 3.9-4.8 y)	<b>Chemo</b>	<b>HDT + Auto BMT</b>	
I++	8 × CHVmp/BV	6 × CHVmp/BV + GF	Diagnoses de novo aggressive NHL	100%	100%		(ITT)	(96)	(98)	

(Continued)

Table 2. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Treatment Group			
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P	Follow-up (Med, Range) and Pt. Outcomes	Control (n)	Transplant (n)	P
1990-1998 EORTC Multictr (300+) Prospective Registered n = 311 Randomized n = 194  Med Age (range) Chemo 44 y (16-63) HDT + Auto 41 y (16-65)	(± RT for bulky dis.)						Completed Assigned Tx	85%	61%	
		BEAC	Hist. Subtypes							
			DLBCL	58%	50%		Overall CR	58%	69%	
		Auto BM	Anaplastic	12%	18%					
			PMBL	2%	2%		NRM	15%	15%	
		(± RT for bulky dis.)	Marginal B cell	3%	2%		5-year PFS	56%	61%	>.05
			Mantle cell	3%	1%		CI 45%-67%	CI 51%-72%		
			Peripheral T cell	2%	1%					
			Other	3%	2%		5-year OS	77%	68%	>.05
			Unclassifiable	12%	20%		CI 67%-86%	CI 57%-79%		
			Ineligible (included)	5%	4%					
			B symptoms	37%	36%					
			BM Involvement	11%	15%					
			Bulky Disease	42%	46%					
			Risk Factors							
			age >60 y	2%	3%					
			aalPI ≥3	29%	31%					
			Stage ≥III	52%	57%					
			LDH >Normal	50%	47%					
			>2 Ex-nodal sites	9%	10%					
[7] Martelli 2003	MACOP-B	MACOP-B + Auto PBSCT		MACOP-B	MACOP-B + Auto PBSCT		(2 years, Not stated)	MACOP-B	MACOP-B + Auto PBSCT	
I++			Diagnoses de novo				(ITT)	(75)	(75)	
	12 × MACOP-B	8 × MACOP-B	IG or HG NHL	100%	100%		Completed Assigned Tx	84%	60%	
1994-1999 Multictr (18) Prospective Randomized n = 150  Med Age (range) MACOP-B 45 y (18-60) MACOP-B + Auto 41 y (19-60)	(± RT for bulky dis.)		Hist. Subtypes				Overall CR	68%	76%	>.10
		BEAC	DLBCL	81%	70%		TRM	1%	3%	
		Auto PBSC + GF	Peripheral T-cell	12%	7%	<.05	5-year PFS	49%	61%	.21
			Anaplastic	4%	15%		CI 29%-43%	CI 36%-49%		
		(± RT for bulky dis.)	LC, unspecified	3%	8%		5-year OS	65%	64%	.95
			B symptoms	46%	58%		CI 40%-53%	CI 40%-53%		
			BM Involvement	17%	16%					
			Bulky Disease	45%	53%					
			Risk Factors				Secondary Neoplasias	0%	0%	
			IPI ≥3	35%	32%					
			Stage ≥III	95%	86%					
			LDH > Normal	91%	85%					
			PS ≥2	51%	61%					

(Continued)

**Table 2. (Continued)**

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Follow-up (Med, Range) and Pt. Outcomes	Treatment Group		P
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	
[8] Milpied 2004	<b>CHOP</b>	<b>HDT + Auto PBSCT</b>		<b>CHOP</b>	<b>HDT + Auto PBSCT</b>		(4 years, Not stated)	<b>CHOP</b>	<b>HDT + Auto PBSCT</b>	
I+			<i>Diagnoses de novo</i>				(ITT)	(99)	(98)	
1994-1999 GOELAMS Multictr (16) Prospective Randomized n = 197	8x CHOP (± RT for bulky dis.)	2x CEEP + GF Ara-C + MTX BEAM + GF Auto PBSCT (± RT for bulky dis.)	IG or HG NHL	100%	100%	.30	Completed Assigned Tx	72%	85%	
<i>Med Age (range)</i> CHOP 50 y (20-60) HDT + Auto 45 y (15-60)			<i>Hist. Subtypes</i>				Overall CR	62%	81%	
			DLBCL	75%	78%		TRM	1%	3%	
			Anaplastic	5%	10%		5-year EFS	37 ± 5%	55 ± 5%	.037
			Diffuse, unspec.	4%	7%		5-year OS	56 ± 5%	71 ± 5%	.076
			T cell lymphoma	16%	5%		For aalPI < 3			
			<i>BM Involvement</i>	24%	33%	.20	5-year EFS	45%	54%	.90
			<i>Risk Factors</i>				5-year OS	68%	66%	.40
			Age >50 y	44%	32%	.07	For aalPI ≥ 3			
			aalPI ≥ 3	49%	57%	.50	5-year EFS	28 ± 6%	56 ± 7%	.003
			Stage ≥ III	78%	84%	.50	5-year OS	44 ± 7%	74 ± 6%	.001
			LDH >Normal	52%	56%	.12				
			>1 Ex-nodal sites	19%	29%					
			PS ≥ 2	19%	10%	.11				

**STANDARD CHEMO VERSUS HIGH DOSE SEQUENTIAL (HDS) THERAPY (± ABBREVIATED CHEMO) + AUTO SCT**

[9] Olivieri 2005	<b>VACOP-B</b>	<b>HDS + Auto PBSCT</b>		<b>VACOP-B</b>	<b>HDS + Auto PBSCT</b>	Not stated	(4.4 y, 0.2-8.2 y)	<b>VACOP-B</b>	<b>HDS + Auto PBSCT</b>	
I++			<i>Diagnoses de novo</i>				(ITT)	(106)	(117)	
1995-2001 NHLCSG Multictr (18) Prospective Randomized n = 223	12x VACOP-B (± RT for bulky dis.)	8x VACOP-B ↓ Cy + GF ↓ VP-16 ↓ BEAM ↓ Auto PBSCT (± RT for bulky dis.)	aggressive NHL	100%	100%		Completed Assigned Tx	100%	68%	
<i>Med Age (range)</i> VACOP-B 42 y (15-60) HDS + Auto 46 y (18-59)			<i>Hist. Subtypes</i>				Overall CR	76%	73%	.06
			DLBCL	83%	74%		TRM	1%	4%	
			Anaplastic LC	12%	15%		7-year PFS	44.9 ± 5.1%	40.9 ± 7.7%	.70
			Other, Inelig.	0%	4%		7-year OS	60 ± 5.4%	57.8 ± 5.2%	.50
			Unclassifiable	4%	7%		Secondary Neoplasias	2%	2%	
			Missing	1%	0%					
			<i>B symptoms</i>	48%	46%					
			<i>BM Involvement</i>	19%	25%					
			<i>Bulky Disease</i>	37%	39%					

(Continued)



Table 2. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Treatment Group			
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P	Follow-up (Med, Range) and Pt. Outcomes	Control (n)	Transplant (n)	P
	↓ 2× DHAP, BEAM + Auto PBSCT ± RT		Risk Factors				For aalPI ≥3			
			aalPI ≥3	68%	72%		7-year PFS	35.8%	40.7%	.50
			Stage ≥III	81%	84%		7-year OS	49.6%	47.8%	.60
			LDH >Normal	64%	54%					
			>2 Ex-nodal sites	22%	23%					
			PS ≥2	14%	22%					
[10] Betticher 2006	<b>CHOP</b>	<b>HDS + Auto PBSCT</b>		<b>CHOP</b>	<b>HDS + Auto PBSCT</b>	All >.05	(4 y, Not stated)	<b>CHOP</b>	<b>HDS + Auto PBSCT</b>	
I++	6-8× CHOP	APO or CHOP	Diagnoses de novo aggressive NHL	100%	100%		(ITT)	(59)	(70)	
1997-2003‡	(± RT for bulky dis.)	↓ Cy + GF	Hist. Subtypes				Completed Assigned Tx	84%	63%	
MISTRAL Multictr (6)		↓ VCR + MTX	DLBCL	69%	76%		Overall CR	68%	66%	
Prospective		↓ VP-16 + GF	PMBL	15%	17%		TRM	3%	4%	
Randomized n = 129		↓ Mito + Mel + GF	Anaplastic	15%	7%		3-year EFS	33%	39%	.67
Med Age (range)		↓ Auto PBSC	Unknown	1%	0%		3-year OS	53%	46%	.48
CHOP 46 y (18-61)		(± RT for bulky dis.)	B symptoms	59%	44%		CI 39%-67%	CI 34%-58%		
HDS + Auto 49 y (18-61)			BM Involvement	22%	23%					
			Bulky Disease	76%	67%					
			Risk Factors							
			IPI ≥3	88%	82%					
			Stage ≥III	76%	70%					
			LDH >Normal	90%	87%					
			Ex-nodal involvement	27%	21%					
			PS ≥2	45%	27%					
[11] Vitolo 2005	<b>MegaCEOP</b>	<b>HDS + Auto PBSCT</b>		<b>MegaCEOP</b>	<b>HDS + Auto PBSCT</b>		(6.5 y, Not stated)	<b>MegaCEOP</b>	<b>HDS + Auto PBSCT</b>	
I++	6-8× MegaCEOP + GF	1-2× APO	Diagnoses de novo DLCL	100%	100%		(ITT)	(66)	(60)	
1996-2001	(± RT for bulky dis.)	↓ Cy + GF	Hist. Subtypes				Completed Assigned Tx	92%	83%	
IIL Multictr (14)		↓ MTX + VCR	DLBCL	90%	80%		Overall CR	70%	59%	
Prospective		↓ VP-16 or DHAP	PMBL	6%	10%		TRM	3%	3%	
Randomized n = 130		↓ Mito + Mel	Immunoblastic	3%	7%		6-year FFS	48%	45%	.56
Eligible n = 126		↓ Auto PBSC	Anaplastic	1%	3%					
Med Age (range)			B symptoms	55%	70%	<.05				
MegaCEOP 43 y (18-60)			BM Involvement	27%	22%					
HDS + Auto 42 y (18-59)										

(Continued)

Table 2. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Follow-up (Med, Range) and Pt. Outcomes	Treatment Group		P
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	
		(± RT for bulky dis.)	<i>Bulky Disease</i>	42%	60%	<.05	6-year OS	63%	49%	.06
			<i>Risk Factors</i>				Secondary Neoplasias	0%	3%	
			aalPI ≥3	80%	87%		For aalPI ≥ 3 OS			
			Stage ≥III	82%	74%					
			LDH ≥Normal	74%	75%					
			>1 Ex-nodal sites	21%	25%			58%	48%	.12
			PS ≥1	56%	63%					
[12] Baldissera 2006	<b>VACOP-B</b>	<b>HDS + Auto PBSCT</b>	<b>VACOP-B</b>	<b>HDS + Auto PBSCT</b>			(1.9 y, Not stated)	<b>VACOP-B</b>	<b>HDS + Auto PBSCT</b>	
I –	12× VACOP-B	6× VACOP-B	<i>Diagnoses de novo aggressive NHL</i>	100%	100%		(ITT)	(27)	(29)	
1998-2003 GEMOH Multictr (7) Prospective Randomized n = 56	If PD/NR after 6x Transplant Tx	Cy + GF ↓ VP-16 ↓ BEAM	<i>Hist. Subtypes</i>			.32	Completed Assigned Tx	70%	62%	
			DLBCL	81%	83%		Overall CR	52%	55%	.80
			Other	19%	17%		TRM	19%	24%	
Med Age (range) VACOP-B 40 y (17-58) HDS + Auto 31 y (18-61)	As rescue for PR/NR after 12× VACOB-B	Auto PBSCT	<i>B symptoms</i>	89%	73%	.12	5-year EFS	47%	30%	.50
		(Salvage chemo per physician)	<i>Bulky Disease</i>	48%	55%	.60	5-year OS	47%	40%	.80
	DHAP + Cy + VP-16 + BEAM + Auto (Salvage chemo per physician)		<i>Risk Factors</i>							
			IPI = High Risk	59%	27%	.02				
			Stage ≥III	100%	90%	.21				
			LDH >Normal	85%	87%	.78				
			≥2 Ex-nodal sites	22%	20%	.78				
			PS ≥2	45%	31%	.58				
<b>ORIGINAL DLBCL EBR PHASE III STUDY</b>										
<b>SEQUENTIAL CHEMO VERSUS AUTOLOGOUS BMT AFTER CR TO INDUCTION THERAPY</b>										
[13] Haioun 2000	<b>Sequential Chemo</b>	<b>Auto BMT</b>	<b>Sequential Chemo</b>	<b>Auto BMT</b>	All >.05		(8 y, Not stated)	<b>Sequential Chemo</b>	<b>Auto BMT</b>	
(Final analysis of [14, 15]) I +	MTX	MTX	<i>Diagnoses de novo poor risk aggressive NHL</i>	100%	100%		(ITT)	(111)	(125)	
1987-1993 GELA LNH87-2 Multictr (35) Registered n = 1043	↓ Ifo + VP-16 ↓ L-Asparaginase ↓ Ara-C	MTX ↓ CBV ↓ Auto BM	<i>Hist. Subtypes</i>				Completed Assigned Tx	100%	69%	
			DLBCL	61%	63%		8-year DFS	39%	55%	.02
			Immunoblastic	13%	11%		CI 29%-49%		CI 46%-64%	
			Small uncleaved	5%	6%					

(Continued)

Table 2. (Continued)

Study Design							Patient Outcomes			
[Ref #], Quality & Strength of Evidence,* and Patient Population	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Treatment Group			
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P	Follow-up (Med, Range) and Pt. Outcomes	Control (n)	Transplant (n)	P
High risk n = 451 Achieved CR n = 277 Randomized n = 236			Follicular	3%	2%		8-year OS	49% CI 39%-59%	64% CI 55%-73%	.04
			Lymphoblastic	2%	2%					
			Other	16%	16%					
Med Age (range) 41 y (16-55)			BM Involvement	34%	26%		Secondary Disease	2%	0%	
			Bulky Disease	70%	74%					
			Risk Factors							
			aaIPI ≥3	100%	100%					
			Stage ≥III	93%	91%					
			LDH >Normal	92%	86%					
			≥2 Ex-nodal sites	30%	33%					
			PS ≥2	44%	42%					
<b>ORIGINAL DLBCL EBR PHASE III STUDY</b>										
<b>FIRST-LINE VACOP-B VERSUS VACOP-B + AUTOLOGOUS SCT</b>										
[16] Santini 1998	VACOP-B	VACOP-B + Auto BMT		VACOP-B	VACOP-B + Auto BMT	Not stated	(3.5 y, Not stated)	VACOP-B	VACOP-B + Auto BMT	
I+	12x VACOP-B	12x VACOP-B	Diagnoses					(61)	(63)	
1991-1995 NHLCSG Multictr (16) Prospective Randomized n = 124	(DHAP ± RT for salvage)	BEAM Auto BM + GF ± RT (DHAP ± RT for salvage)	de novo poor risk aggressive NHL	100%	100%		(ITT)	Completed Assigned Tx	100%	71%
Med Age (range) VACOP-B 45 y (18-59) VACOP-B + Auto SCT 40 y (16-60)			Hist. Subtypes				Overall CR	75%	73%	>.05
			DLBCL	60%	65%		TRM	7%	6%	
			Immunoblastic	20%	21%		6-year PFS	48% CI 33%-61%	60% CI 48%-72%	.40
			Anaplastic	12%	8%		6-year OS	65% CI 50%-79%	65% CI 53%-77%	.50
			Unclassifiable	8%	6%		Secondary disease	2%	0%	
			B symptoms	41%	52%					
			Bulky Disease	49%	65%					
			Risk Factors							
			aaIPI ≥3	59%	54%					
			Stage ≥III	71%	65%					
			LDH >Normal	56%	64%					
			>2 Ex-nodal sites	25%	24%					
			PS ≥2	15%	19%					

(Continued)

**Table 2.** (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Follow-up (Med, Range) and Pt. Outcomes	Treatment Group		P
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	
<b>ORIGINAL DLBCL EBR PHASE III STUDY</b>										
<b>FIRST-LINE CHEMO (MACOP-B) VERSUS HDS THERAPY + AUTOLOGOUS SCT</b>										
[17] Gianni 1997	<b>MACOP-B</b>	<b>HDS + Auto SCT</b>		<b>MACOP-B</b>	<b>HDS + Auto SCT</b>		(4.6 y, 1.1-8.6 y)	<b>MACOP-B</b>	<b>HDS + Auto SCT</b>	
I+			<i>Diagnoses</i>					(50)	(48)	
1987—Not stated	12x MACOP-B ± RT	Dox + VCR + Pred	DLBCL	100%	100%					
Single Center	If Rel/Prog during Tx	↓ Cy + GF	<i>Bulky Disease</i>	70%	76%		(ITT)			
Prospective	↓	VCR + MTX	<i>Risk Factors</i>				Completed			
Registered n = 101	↓	VP-16 + GF	aalPI ≥3	74%	94%		Assigned Tx	100%	100%	
Randomized n = 98	HDS therapy	↓	Stage ≥III	68%	72%		Overall CR	70%	96%	<b>.001</b>
<i>Med Age (range)</i>		↓	LDH >Normal	74%	78%		TRM	6%	8%	
MACOP-B 35 y (17-60)		TBI + Mel + GF	>2 Ex-nodal sites	70%	78%		7-year EFS	49%	76%	<b>.004</b>
HDS + Auto 34 y (18-59)		or	PS ≥2	68%	87%	<b>.03</b>	7-year OS	55%	81%	.09
		Mito + Mel + GF					Secondary disease	CI 36-73%	CI 68%-91%	
		(± RT)						2%	2%	
		↓								
		Auto PBSC or BM								
		If Rel/Prog during Tx ↓								
		MACOP-B								

aalPI indicates age-adjusted International Prognostic Index; ACVBP, doxorubicin/cyclophosphamide/vindesine/bleomycin/prednisone; Allo, allogeneic; APO, doxorubicin/ vincristine/prednisone; Ara-C, cytarabine; Auto, autologous; BEAC, carmustine/etoposide/cyclophosphamide/cytarabine; BEAM, carmustine/etoposide/cytarabine/melphalan; BM, bone marrow; BMT, bone marrow transplantation; CBV, cyclophosphamide/carmustine/etoposide; CEEP, cyclophosphamide/epirubicin/vindesine/prednisone; CEOP, cyclophosphamide/ epirubicin/vincristine/prednisone; Chemo, chemotherapy; CHOEP, CHOP+Etoposide; CHOP, cyclophosphamide/doxorubicin/vincristine/prednisone; CHVmp/BV, cyclophosphamide/doxorubicin/teniposide/prednisone/bleomycin/vincristine; CI, 95% confidence interval; CR, complete remission; CRu, unconfirmed CR; Cy, cyclophosphamide; DFS, disease-free survival; DHAP, dexamethasone/cytarabine/cisplatin; DLCL, diffuse large cell lymphoma; DLBCL, diffuse large B cell lymphoma; EBR, evidence-based review; ECOG, Eastern Cooperative Oncology Group; ECVBP, epirubicin/cyclophosphamide/vindesine/bleomycin/prednisone; EFS, event-free survival; EORTC, European Organization for Research and Treatment of Cancer; Ex-nodal, extranodal; FFS, failure-free survival; G-CSF, granulocyte-colony stimulating factor; GELA, Groupe d'Etude des Lymphomes de l'Adulte; GEMOH, Grupo de Estudos Multicêntricos em Onco-Hematologia; GF, growth factor; GOELAMS, Groupe Ouest-Est des Leucémies et des Autres Maladies du Sang; HD, high dose; HDS, high-dose sequential chemotherapy; HDT, high-dose therapy; HG, high grade; Hist., histological; HR, high risk or hazard ratio; IG, intermediate grade; Ifo, ifosfamide; IIL, Intergruppo Italiano Linfomi; IPI, International Prognostic Index; ITT, intention to treat; LC, large cell; LDH, lactate dehydrogenase; MACOP-B, methotrexate/doxorubicin/cyclophosphamide/vincristine/prednisone/bleomycin; Mel, melphalan; MIS-TRAL, Multicenter International Studies on the Treatment of Aggressive Lymphomas; Mito, mitoxantrone; MTX, methotrexate; NHLCSG, non-Hodgkin Lymphoma Cooperative Study Group; NR, No response; NRM, non-relapse mortality; OR, odds ratio; OS, overall survival; PB, peripheral blood; PBST, peripheral blood stem cell transplantation; PD, progressive disease; PR, partial remission; PMBL, primary mediastinal B-cell lymphoma; PS, performance status; Ref, reference; RR, relative risk; RT, radiation therapy; SC, stem cell; SCT, stem cell transplantation; Seq., sequential; TBI, total body irradiation; TRM, treatment-related mortality; Tx, treatment or therapy; VACOP-B, etoposide/doxorubicin/cyclophosphamide/vincristine/prednisone/ bleomycin; VCR, vincristine; VP-16, etoposide; y, year.

\*Quality and strength of evidence definitions are listed in Table 1.

†TRM only stated for all patients, not by treatment arm.

‡Trial closed in 2003 after a planned interim analysis because of lack of potential to detect relevant differences in OS, high toxicity in HDS arm, and new treatment options for this patient population.

**Table 3. Autologous SCT versus Nontransplantation Therapy for Patients with DLBCL in Partial Remission**

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Follow-up (Med, Range) & Pt. Outcomes	Treatment Group		P
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	
<b>ORIGINAL DLBCL EBR – SCT VERSUS NON-SCT FOR PARTIAL RESPONDERS (NO NEW PUBLISHED DATA)</b>										
[18] Verdonck 1995	<b>CHOP</b>	<b>HDT + Auto BMT</b>		<b>CHOP</b>	<b>HDT + Auto BMT</b>	Not stated	(3 y, 1.7-7 y)	<b>CHOP</b>	<b>HDT + Auto BMT</b>	
I+	8× CHOP	4× CHOP	<i>Diagnoses</i> NHL in PR	100%	100%		(ITT)	(35)	(34)	
1987-1994 Multictr, Prospective Post-induction PR n = 133 Eligible for randomization n = 106 Randomized = 69 (65%)		Cy + TBI Auto BM	<i>Hist. Subtypes</i> DLBCL Immunoblastic Follicular Unclass. IG or HG	43% 20% 17% 20%	64% 20% 4% 12%		Completed Assigned Tx	80%	76%	
<i>Med Age (range)</i> Not stated (15-60 y)			<i>BM Involvement</i> <i>B symptoms</i> <i>Bulky Disease</i>	6% 32% 46%	11% 44% 59%		Overall CR TRM 4-year EFS 4-year OS	74% 0% 53 ± 9% 85 ± 6%	68% 6% 41 ± 10% 56 ± 10%	.54  .43 .12
			<i>Risk Factors</i> IPI ≥3 Stage ≥III LDH >Normal ≥2 Ex-nodal sites WHO PS 2-4	44% 57% 83% 14% 6%	44% 40% 80% 15% 9%					

Auto indicates autologous; BM(T), bone marrow (transplantation); CHOP, cyclophosphamide/doxorubicin/vincristine/ prednisone; CR, complete remission; Cy, cyclophosphamide, DLBCL, diffuse large B cell lymphoma; EFS, event-free survival; HG, high grade; IG, intermediate grade; IPI, International Prognostic Index; ITT, intention to treat; LDH, lactate dehydrogenase; NHL, Non-Hodgkin lymphoma; PR, partial remission; OS, overall survival; TBI, total-body irradiation; TRM, treatment-related mortality; Tx, treatment; WHO, World Health Organization.

\*Quality and strength of evidence definitions are listed in Table 1.

**Table 4. Autologous SCT versus Nontransplantation as Salvage Therapy for DLBCL**

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design						Patient Outcomes			
	Protocols by Treatment Group		Disease Characteristics (at Dx Unless Stated)				Follow-up (Med, Range) & Pt. Outcomes	Treatment Group		P
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	
<b>ORIGINAL DLBCL EBR PHASE III STUDY OF AUTOLOGOUS BMT AS SALVAGE THERAPY (NO NEW PUBLISHED DATA)</b>										
[19] Philip 1995	<b>DHAP</b>	<b>HDT + Auto BMT</b>		<b>DHAP</b>	<b>HDT + Auto BMT</b>	Not stated	(5.25 y, Not stated)	<b>DHAP</b>	<b>HDT + Auto BMT</b>	
I+	6× DHAP	2× DHAP	<i>Diagnoses</i> IG or HG NHL in chemosensitive relapse	100%	100%		(ITT)	(54)	(55)	
1987-1994 PARMA Multictr (51) Prospective Enrolled n = 215 Randomized n = 109	(± RT for bulky dis.)	BEAC  (± RT for bulky dis.)  Auto BM	<i>Hist. Subtypes</i> DLBCL Immunoblastic Lymphoblastic Indolent	65%	75%		Completed Assigned Tx	Not stated	89%	
<i>Med Age (range)</i> 43 y (18-60)				22%	18%		Overall CR	44%	84%	
				4%	0%		TRM	0%	6%	
			<i>Bulky Disease</i>	9%	7%		5-year EFS	12%	46%	<b>.001</b>
			<i>LDH &gt;Normal</i>	41%	29%		5-year OS	32%	53%	<b>.038</b>
				39%	36%					

Auto indicates autologous; BEAC, carmustine/etoposide/cyclophosphamide/cytarabine; BM(T), bone marrow (transplantation); CR, complete remission; DHAP, dexamethasone/ cytarabine/cisplatin; DLBCL, diffuse large B cell lymphoma; EFS, event-free survival; HG, high grade; IG, intermediate grade; ITT, intention to treat; LDH, lactate dehydrogenase; NHL, non-Hodgkin lymphoma; OS, overall survival; RT, radiation therapy; TRM, treatment-related mortality; Tx, treatment.

\*Quality and strength of evidence definitions are listed in Table 1.

**Table 5. Noncomparative Studies of Autologous SCT as Salvage for Patients  $\geq 60$  Years**

(Ref #), Qual. & Strength of Evidence,* and Patient Population		Study Design		Patient Outcomes		
		Protocol	Disease and Transplant Characteristics		Follow-Up [Med (Range)] & Patient Outcomes	
<b>UPDATE DATA (POST-2000 STUDIES)</b>						
[20]	Jantunen 2008	Prior Ritux therapy (33%)	Diagnoses		[1 y (Not stated)]	
		BEAM (73%)	DLBCL	100%		
2+		TBI in conditioning (1.1%)	Status at SCT		3-year NRM	10.8%
2000-2005			CR1	23%		
EBMTR Multictr (525)		Auto (99% PBSCT)	CR $\geq 2$ or PR	71%	3-y Relapse	38%
Retrospective			Untested or refractory	6%		
Pts $\geq 60$ years n = 463			Prior Chemo Reg $\geq 2$	76%	3-year PFS	51%
Med Age (range) 63 y (60-74)			Med Dx to SCT	1.2 y	3-year OS	60%
			Bulky Disease	19%		
			B Symptoms	43%		
			Risk Factors			
			Stage $\geq III$	72%		
			LDH >normal	48%		
			PS $\geq 2$	4%		
[21]	Buadi 2006	HDT: BEAC or BEAM	Diagnoses		[1.2 y (.1 – 7.3 y)]	
		Auto (74% PBSCT)	NHL	100%		
2+			Hist. Subtypes		CR/CRu	89%
1995-2003			DLBCL	61%		
Single Center			Transformed FL	26%	TRM	5.4%
Retrospective			MC	7%		
n = 93			T cell	4%	4-year EFS	38%
			Other	2%		
Med Age (range) 66 y (60-76.5)			Status at SCT		4-year OS	38%
			CR1	5%		
			PR1	7%		
			CR2 or PR2	54%		
			CR or PR >2	31%		
			Refractory	3%		
			aalPI $\geq 2$	18%		
[22]	Jantunen 2006	Prior Chemo	Diagnoses		[1.75 y (Not stated)]	
		CHOP (77%)	NHL	100%		
2+		SC Mobilization	Hist. Subtypes		DLBCL Only	
2000-2005		Cy + GF (56%)	DLBCL	33%		
Retrospective Multictr (6)		or Disease-specific chemo + GF	MC	31%	100-d TRM	10%
			FL	17%		

(Continued)

Table 5. (Continued)

(Ref #), Qual. & Strength of Evidence, * and Patient Population	Study Design		Disease and Transplant Characteristics		Patient Outcomes	
	Protocol				Follow-Up [Med (Range)] & Patient Outcomes	
Analyzed n = 88 DLBCL n = 29 (33%) (Stratified) Med Age (range) 63 y (60-70)	HDT BEAC or BEAM ± GF  Auto PBSCT		T cell Other	14% 5%	5-year OS	38%
		All Patients Status at SCT CR1 or PR1 CR or PR ≥ 2 Refractory  Med Chemo Reg (range) Med Dx to SCT (range)		60% 38% 2%  7 (3-19) .75 y (3-14.6 y)		
			IPI ≥ 3	50%		

aaIPI indicates age-adjusted International Prognostic Index; Auto, autologous; BEAC, bleomycin/etoposide/doxorubicin/cyclophosphamide; BEAM, carmustine/etoposide/cytarabine/melphalan; CHOP, cyclophosphamide/doxorubicin/vincristine/prednisone; CR, complete remission; CRu, unconfirmed complete remission; Cy, cyclophosphamide; DLBCL, diffuse large B cell lymphoma; Dx, diagnosis; EFS, event-free survival; FL, follicular lymphoma; GF, growth factor; HDT, high-dose therapy; IPI, International Prognostic Index; LDH, lactate dehydrogenase; MC, mantle cell; PBSCT, peripheral blood stem cell transplantation; PFS, progression-free survival; PR, partial remission; PS, performance status; Ritux, rituximab; SCT, stem cell transplantation; TBI, total-body irradiation; TRM, treatment-related mortality; Tx, treatment.

\*Quality and strength of evidence definitions are listed in Table 1.

To briefly summarize, the quality (see Appendix A, Table 1) of the 11 new studies ranged from 1++ to 1-. Gisselbrecht et al. [4] reported a significant improvement with full-course chemotherapy versus abbreviated chemotherapy + autologous SCT on 5-year event-free survival (EFS) and overall survival (OS), but only for low- to intermediate-risk patients (age-adjusted International Prognostic Index [aaIPI] score ≤ 2). Milpied et al. [8] reported a significant positive impact of abbreviated chemotherapy + autologous SCT versus full-course chemotherapy on 5-year EFS, but only for intermediate-high to high-risk patients (aaIPI ≥ 3). The remaining seven studies [5-7,9-12] found no significant differences in outcomes between the autologous SCT and nontransplantation groups. Both meta-analyses [2,3] of published evidence reported that first-line autologous SCT did not consistently provide benefits in OS or EFS when compared with conventional chemotherapy overall or in patients with a low IPI score. The evidence for high IPI score patients was inconclusive because of conflicting results.

Of the three randomized studies from the original DLBCL EBR, Haioun et al. [13] reported a statistically significant advantage in 8-year DFS and OS, and Gianni et al. [17] reported an advantage in 7-year EFS (OS approached significance), for patients who underwent autologous SCT versus nontransplantation therapy. Santini et al. [16] reported a better 6-year DFS rate among patients who underwent autologous SCT versus nontransplantation therapy, but the difference was not statistically significant.

### Autologous SCT versus Nontransplantation for Patients in Partial Remission

There are no new randomized studies on the efficacy of autologous SCT versus chemotherapy for patients in partial remission following first-line induction therapy for DLBCL. One Phase III study by Verdonck et al. [18] from the original EBR compared the use of autologous SCT versus chemotherapy (CHOP) as treatment for patients in partial remission after three cycles of first-line chemotherapy and found no significant differences in OS or EFS between the two treatment groups. Table 3 presents the details of the study design and outcomes according to the new format and grading criteria.

### Autologous SCT versus Nontransplantation as Salvage Therapy

The 1995 PARMA trial discussed in the original DLBCL EBR remains the only randomized trial comparing autologous SCT versus salvage chemotherapy to date; details of the study design and outcomes are presented in Table 4 according to the new format and grading criteria [19]. The study reported a significantly superior OS and EFS in patients who underwent salvage autologous SCT. Based on this study,



autologous SCT has become the standard of care in patients <60 years with chemosensitive relapsed or primary refractory aggressive non-Hodgkin lymphoma (NHL).

### **AUTOLOGOUS SCT FOR PATIENTS $\geq$ 60 YEARS OF AGE**

The PARMA trial [19] did not enroll patients over 60 years, and there are no comparative data of autologous SCT versus nontransplantation as salvage therapy in this patient group. There are three cohort studies [20-22] published since the original review of autologous SCT as salvage therapy for patients  $\geq$ 60 years. Because of the prognostic importance of age, these noncomparative studies are included in the main article. Table 5 summarizes the study designs, patient populations, and outcomes from these noncomparative studies as evidence on the use of autologous SCT as salvage for patients  $\geq$ 60 years of age.

### **AUTOLOGOUS VERSUS ALLOGENEIC SCT**

It was noted in the original DLBCL EBR that there were comparative studies of autologous versus allogeneic SCT in NHL patients that would have provided much needed evidence in this area, but they were not included in the EBR because they did not report the proportion of DLBCL patients in their study populations. Presented in this update are two nonrandomized studies published since 2000 that compared the outcomes of autologous versus myeloablative allogeneic SCT as treatment specifically for DLBCL patients. The quality ratings of these studies were 2++ and 2+. Table 6 summarizes the study designs, patient populations, and outcomes from this new evidence. Lazarus et al. [23] reported that allogeneic SCT patients had significantly worse 1-year probabilities of OS, progression-free survival (PFS), and treatment-related mortality (TRM) compared to autologous SCT patients, but the differences were not significant at 3 or 5 years. Aksentjevich et al. [24] reported a significantly worse 3-year TRM for allogeneic compared to autologous SCT patients, but no difference in survival outcomes. Neither study reported a significant difference in risk of relapse or disease progression between the two treatment groups at any time interval.

### **AUTOLOGOUS SCT**

The autologous SCT section of this DLBCL update is composed of 15 comparative and 36 noncomparative studies. The original DLBCL EBR contained no studies that examined the impact of rituximab therapy in combination with autologous SCT. Published since 2000 were 10 comparative studies examining the use of rituximab as part of autologous SCT, including

two randomized trials and eight cohort studies. Rituximab has changed the biology of relapsed DLBCL by improving the cure rate after induction therapy, but may result in relapsed disease that is more resistant to salvage therapy. Because rituximab is now the standard of care in the therapy of DLBCL, studies on the use of rituximab prior to autologous SCT are not useful for making treatment recommendations, but may help determine post-autologous SCT prognosis.

### **Comparative Studies of Autologous SCT**

Table 7 presents the study designs and outcomes of three comparative studies used to make treatment recommendations on: autologous BMT versus autologous PBSCT [25], the use of rituximab after autologous SCT [26], and fewer versus more courses of induction therapy prior to autologous SCT [27]. These studies, whose quality ratings ranged from 1++ to 2, are briefly summarized later.

Appendix B (online only) presents the details of the 12 comparative studies of autologous SCT that were not used in the development of the treatment recommendations because of the obsolete or peripheral nature of their findings. These included nine studies of rituximab use prior to, or before and after, autologous SCT [32-40], timing of transplantation [41], intensity of stem cell mobilization regimen [42], and the use of oral versus intravenous busulfan as high-dose therapy prior to autologous SCT [43].

#### ***Autologous bone marrow transplantation (BMT) versus peripheral blood stem cell transplantation (PBSCT)***

A randomized study by Vose et al. [25], published after the original EBR, compared autologous PBSCT versus BMT as treatment for aggressive non-Hodgkin lymphoma (NHL) patients (61% DLBCL). The quality rating of this study is 1++. Patients who underwent autologous PBSCT had a significantly longer OS, but not EFS, compared to autologous BMT patients.

#### ***Rituximab as maintenance therapy after autologous SCT***

Whether to use rituximab as routine maintenance therapy post-SCT remains an important clinical question. A study by Haioun et al. [26] reported no significant difference in EFS among patients who received maintenance rituximab therapy versus those who did not.

#### ***Fewer versus more cycles of induction therapy prior to first-line autologous SCT***

Van Imhoff et al. [27] investigated the impact of three courses of intensified CHOP versus no CHOP prior to two cycles of induction followed by first-line autologous SCT for patients with *de novo* aggressive NHL, and found that patients who received the

**Table 6. Autologous versus Allogeneic SCT as First-Line or Salvage Therapy for DLBCL**

[Ref #], Quality & Strength of Evidence*, and Patient Population	Study Design						Patient Outcomes				
	Protocols by Treatment Group		Disease (at Dx unless stated) and Transplant Characteristics				Follow-up (Med, Range) & Pt. Outcomes	Treatment Group			
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P		Control (n)	Transplant (n)	P	
<b>UPDATE DATA (POST-2000 STUDIES)</b>											
[23] Lazarus 2010 2++ 1995-2003 CIBMTR Multictr (156) Retrospective n = 916  Med Age (range) Auto 48 y (18-60) Allo 46 y (21-59) (P = .05)	<b>Auto SCT</b>	<b>(Myeloablative) Allo SCT</b>	<i>Diagnoses</i> DLBCL	<b>Auto SCT</b>	<b>Allo SCT</b>	<b>&lt;.001</b>	<b>Auto</b> (5 y, .08 - 10.8 y)  <b>Allo</b> (6.75 y, 1.2-10 y)	<b>Auto SCT</b> (837)	<b>Allo SCT</b> (79)		
	BEAM/similar (61%) TBI-based (18%) CBV/similar (10%) Other (11%)	TBI + Cy (52%) Bu + Cy (30%) Other (18%)		100%	100%		3-year TRM	16% CI 14%-19%	43% CI 32-51%	>.05	
	BM (9%)	BM (37%) HLA-identical sibling	<i>Disease Status at SCT</i> Primary Induct Failure CR1 ≥CR2	29% 18% 17%	51% 7% 8%		3-year PFS	47% CI 43%-50%	24% CI 15%-34%	>.05	
			Relapsed- sensitive Relapsed - resistant	29% 7%	18% 16%		3-year OS	53% CI 49%-56%	26% CI 17%-36%	>.05	
			<i>B symptoms</i>	46%	58%	<b>.04</b>	3-year Relapse	40% CI 36%-43%	33% CI 23%-43%	>.05	
			<i>Med Dx to SCT</i> (range)	1.1 y (.17-23.9 y)	.92 y (1.7-13 y)	<b>.03</b>					
			<i>Prior Chemo Reg &gt;2</i>	41%	51%	.12					
			<i>Risk Factors</i> aaIPI ≥3 Stage ≥III ≥2 Ex-nodal sites Karnofsky PS <90	52% 66% 57% 36%	71% 80% 70% 44%	<b>.02</b> <b>.003</b> <b>.02</b> .18					
[24] Aksentijevich 2006 2+ 1985-2001 Single Center Retrospective n = 183  Med Age (range) Auto 45 y (18-67) Allo 36 y (18-59) (P < .001)	<b>Auto SCT</b>	<b>(Myeloablative) Allo SCT</b>	<i>Diagnoses</i> DLBCL	<b>Auto SCT</b>	<b>Allo SCT</b>	<b>.004</b>	<b>Auto</b> (5.2 y, 1.1-16.6 y)  <b>Allo</b> (4.2 y, 1.2-14 y)	<b>Auto SCT</b> (138)	<b>Allo SCT</b> (45)		
	TBI + Cy (66%) Bu + Cy (25%) BuCy + VP-16 (9%)	TBI + Cy (38%) Bu + Cy (49%) BuCy + VP-16 (13%)		100%	100%		3-year TRM	23.9%	51.1%	<b>.001</b>	
	4-HC purged (59%) BM or PBSC	T cell-depleted BM HLA-matched sibling	<i>Disease Status at SCT</i> Sensitive Resistant	51% 49%	29% 71%		3-year EFS	30.9%	19.1%	.20	
			<i>B symptoms</i>	23%	36%	.08	3-year OS	33.1%	23.7%	.17	
			<i>Med Dx to SCT</i> (range)	1 y (.1-10.7 y)	.96 y (.3-6.5 y)	.70					

(Continued)

Table 6. (Continued)

[Ref #], Quality & Strength of Evidence*, and Patient Population	Study Design				Patient Outcomes					
	Protocols by Treatment Group		Disease (at Dx unless stated) and Transplant Characteristics		Treatment Group					
	Control Therapy	Transplant Therapy	Disease Characteristic	Control Group	Transplant Group	P	Follow-up (Med, Range) & Pt. Outcomes	Control (n)	Transplant (n)	P
			Avg # Chemo Reg	1.9	2.1	.02	3-year Relapse	48.1%	55%	.85
			Stage $\geq$ III	61%	38%	.02				

4-HC indicates 4-hydroperoxycyclophosphamide; aaPI, age-adjusted International Prognostic Index; Allo, allogeneic; Auto, autologous; BEAM, carmustine/etoposide/ cytarabine/melphalan; BM(T), bone marrow (transplantation); Bu, busulfan; CBV, cyclophosphamide/carmustine/etoposide; CIBMTR, Center for International Blood and Marrow Transplant Research; CR, complete remission; Cy, cyclophosphamide; DLBCL, diffuse large B cell lymphoma; Dx, diagnosis; EFS, event-free survival; HLA, human leukocyte antigen; PFS, progression-free survival; PS, performance status; OS, overall survival; SCT, stem cell transplantation; TBI, total-body irradiation; TRM, treatment-related mortality; Tx, treatment; VP-16, etoposide.

\*Quality and strength of evidence definitions are listed in Table 1.

intensified CHOP had significantly better OS and EFS than those who did not.

### Noncomparative Studies of Autologous SCT

The 36 noncomparative cohort studies included in this update examined the use of autologous SCT as first-line (11 studies) or salvage (25 studies) therapy for DLBCL [44-77].

The design, methodology, and outcomes data from these studies are summarized in Appendix C (online only). These studies represented nonrandomized single- or multi-institutional experiences with autologous SCT or retrospective analyses of transplantation registry data. The quality ratings of the noncomparative autologous SCT studies ranged from 2++ to 2-. Collectively, the outcomes data from these studies contribute to the overall understanding of the effectiveness of autologous SCT in the treatment of DLBCL. One treatment recommendation was developed from several noncomparative Phase II studies on the use of tandem or multiple autologous SCTs [44-47].

### ALLOGENEIC SCT

There were no comparative studies in the original EBR, which examined reduced intensity conditioning (RIC) versus myeloablative (MA) conditioning for allogeneic SCT. There is one comparative and five non-comparative allogeneic SCT studies published since 2000 included in this update. Rodriguez et al. [28] reported that patients who received RIC versus MA conditioning prior to allogeneic SCT had significantly higher relapse rates, but no difference in OS or PFS. The quality of this study was a 2+, and Table 8 presents a detailed summary of the study design and outcomes.

Appendix D (online only) summarizes the five non-comparative studies published since the original review, which reported treatment outcomes after either MA (n = 1) or RIC (n = 4) prior to allogeneic SCT [78-82]. The study quality ratings ranged from 2++ to 2+. These studies represent nonrandomized single- or multi-institutional experiences with allogeneic SCT or retrospective analyses of transplantation registry data. Although they contribute to the overall understanding of the effectiveness of allogeneic SCT in the treatment of DLBCL, as noncomparative studies they were not used for making a treatment recommendation regarding conditioning intensity for allogeneic SCT.

### AREAS OF NEEDED RESEARCH AND ONGOING STUDIES

After reviewing the updated evidence on the use of SCT for DLBCL, the expert panel identified several important areas of needed research. As noted, some identified areas of needed research are being investigated by

**Table 7. Comparative Studies of Autologous SCT for DLBCL**

[Ref #], Quality & Strength of Evidence*, and Patient Population	Study Design				Patient Outcomes					
	Protocols by Treatment Group		Disease (at Dx unless stated) and Transplant Characteristics			Follow-up (Med, Range) & Pt. Outcomes	Treatment Groups		P	
			Disease Characteristic	Treatment Groups	P		(n)	(n)		
<b>UPDATE DATA (POST-2000 STUDIES)</b>										
<b>AUTOLOGOUS BMT VERSUS PBSCT</b>										
[25] Vose, 2002	<b>Auto BMT</b>	<b>Auto PBSCT</b>		<b>Auto BMT</b>	<b>Auto PBSCT</b>		(4.6 y, 2.6-7.0 y)	<b>Auto BMT</b> (46)	<b>Auto PBSCT</b> (47)	
I++	BEAC	BEAC + GF for mobilization	<i>Diagnoses</i> Aggressive NHL	100%	100%		(ITT)			
1993-1997 Multicenter (5) Enrolled n=105 Randomized n=93	Auto BMT followed by GF	Auto PBSCT followed by GF	<i>Hist. Subtypes</i> DLBCL	61%	62%	>.05	Overall CR	54%	72%	.09
			Immunoblastic	22%	17%		4-year EFS	37%	37%	.39
			Composite	6%	13%			CI 23%-51%	CI 23%-51%	
			Unclassified	11%	8%					
<i>Med Age (range)</i> BMT 44 y (18-69) PBSCT 49 y (22-72) (P >.05)			<i>Disease Status at SCT</i>			>.05	4-year OS	43%	61%	<b>.037</b>
			PIF/Rel Sensitive	60%	64%			CI 29%-58%	CI 47%-75%	
			PIF/Rel Resistant	7%	9%					
			CR1	9%	9%					
			CR2	13%	12%					
			Untreated Relapse	11%	6%					
			Prior Chemo Reg >2	13%	32%	<b>.046</b>				
			Bulky disease	7%	6%	>.05				
			<i>Risk Factors</i>							
			Stage ≥III	53%	60%	>.05				
			LDH >Normal	26%	30%	>.05				
			High Risk	15%	13%	>.05				
<b>RITUXIMAB VERSUS NO RITUXIMAB MAINTENANCE AFTER AUTOLOGOUS SCT FOR DE NOVO DLBCL</b>										
[26] Haioun 2009	<b>No Ritux</b>	<b>Ritux</b>		<b>No Ritux</b>	<b>Ritux</b>	All >.05	(4 y, Not stated)	No Ritux (130)	Ritux (139)	
I+	Randomized Induction ACVBP or AC/ACE	Randomized Induction ACVBP or AC/ACE	<i>Diagnoses</i> Aggressive DLBCL	100%	100%					
			B/M Involvement	24%	24%		2-year EFS	71%	80%	.099
			Bulky disease	36%	40%			CI 62%-78%	CI 72%-86%	
1999-2004 Multictr (40) Enrolled n = 476 SCT eligible n = 402 2 <sup>nd</sup> Randomization n = 269	HDT Mito + Cy + VP-16 + Carmustine Auto SCT	HDT Mito + Cy + VP-16 + Carmustine Auto SCT	<i>Risk Factors</i> aaPI ≥3	100%	100%					

(Continued)

Table 7. (Continued)

[Ref #], Quality & Strength of Evidence*, and Patient Population	Study Design			Patient Outcomes				
	Disease (at Dx unless stated) and Transplant Characteristics			Follow-up (Med, Range) & Pt. Outcomes	Treatment Groups			
	Protocols by Treatment Group		Disease Characteristic		Treatment Groups	P	(n)	(n)
Med Age (range) No Ritux 47 y (18-59) Ritux 47 y (19-59)	2 <sup>nd</sup> randomization to observation	2 <sup>nd</sup> randomization to 4x Ritux	Stage ≥III ≥2 Ex-nodal sites	97% 66%	97% 60%			
			LDH >Normal PS ≥2	93% 35%	95% 29%			
<b>FEWER VERSUS MORE CYCLES OF INDUCTION THERAPY PRIOR TO FIRST-LINE AUTOLOGOUS SCT</b>								
[27] Van Imhoff 2005	<b>No CHOP (HOVON-27)</b>	<b>CHOP (HOVON-40)</b>		<b>No CHOP (HOVON-27)</b>	<b>CHOP (HOVON-40)</b>	<b>No CHOP (6.9 y, 4.2-9.3 y)</b>	<b>No CHOP (HOVON-27) (66)</b>	<b>CHOP (HOVON-40) (81)</b>
2++		3x CHOP	Diagnoses de novo aggress. NHL	100%	100%	<b>CHOP (2.8 y, 1.1-4.9 y)</b>		
1994-1999 HOVON-27 trial	HDS Therapy Cy + Dox + Pred + GF	HDS Therapy Cy + Dox + Pred + GF	Hist. Subtypes DLBCL	76%	83%	TRM	9%	6%
1999-2001 HOVON-40 trial	↓ VP-16 + Mito + Pred + GF	↓ VP-16 + Mito + Pred + GF	FL	9%	4%	4-year EFS	15%	49%
Multictr Retrospective Total n = 395			Anaplastic LCL T cell Unclassified	9% 5% 2%	5% 6% 2%		CI 8%-25%	CI 38%-59%
Med Age (range) No CHOP 49 y (15-64) CHOP 52 y (18-65) (P = .62)	HDT BEAM	HDT BEAM	B Symptoms	79%	81%	4-year OS	21% CI 12%-32%	50% CI 37%-61%
	Auto PBSCT ± RT	Auto PBSCT ± RT	Bulky Disease	45%	33%			
			Risk Factors aaIPI ≥2	100%	100%			
			Stage ≥III	100%	100%			
			LDH ≥ Normal	98%	100%			
			>1 Ex-nodal sites	47%	32%			.13
			PS ≥2	35%	20%			.04

aaIPI indicates age-adjusted International Prognostic Index; Ara-C, cytarabine; Auto, autologous; BEAC, carmustine/etoposide/cyclophosphamide/cytarabine; BEAM, carmustine/etoposide/cytarabine/melphalan; BMT, bone marrow transplantation; Bu, Busulfan; CBV, cyclophosphamide/carmustine/etoposide; CHOP, cyclophosphamide/ doxorubicin/vincristine/prednisone; CI, 95% confidence interval; CR, complete remission; Cy, cyclophosphamide; DLBCL, diffuse large B cell lymphoma; Dox, doxorubicin; Dx, diagnosis; EFS, event-free survival; ESHAP, etoposide/cytarabine/methylprednisolone/cisplatin; FL, follicular lymphoma; GF, growth factor; HDS, high-dose sequential therapy; HDT, high-dose therapy; HOVON, Dutch-Belgian Hemato-Oncology Cooperative Group; HR, high risk; IPI, International Prognostic Index; IV, Intravenous; LDH, lactate dehydrogenase; mAbs, monoclonal antibodies; MC, mantle cell; Mito, mitoxantrone; NHL, non-Hodgkin Lymphoma; PBSCT, peripheral blood stem cell transplantation; PFS, progression-free survival; PIF, primary induction failure; PR, partial remission; Pred, prednisone; PS, performance status; OS, overall survival; R or Ritux, rituximab; RT, radiation therapy; SCT, stem cell transplantation; TBI, total-body irradiation; TILC, time interval since last chemotherapy; TRM, treatment-related mortality; Tx, treatment, VP-16, etoposide.

\*Quality and strength of evidence definitions are listed in Table 1.

**Table 8. Comparative Study of Allogeneic SCT for DLBCL**

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes				
	Protocols by Treatment Group	Disease (at Dx unless stated) and Transplant Characteristics			Follow-up (Med, Range) & Pt. Outcomes	Treatment Groups			
		Disease Characteristic	Treatment Groups	P		(n)	(n)	P	
<b>UPDATE DATA (POST-2000 STUDY)</b>									
<b>MYELOABLATIVE VERSUS REDUCED-INTENSITY CONDITIONING FOR ALLOGENEIC SCT</b>									
[28]	<b>CMR</b>	<b>RIC</b>		<b>CMR</b>	<b>RIC</b>		<b>CMR</b>	<b>CMR</b>	<b>RIC</b>
Rodriguez 2006							(5.8 y, 2.8-8.1 y)	(48)	(40)
2+	Conditioning f-TBI + Cy (85%) or Bu + Cy (15%)	Conditioning Flu + Mel	Diagnoses NHL	100%	100%		<b>RIC</b> (1.7 y, .5-3.5 y)		
1991-2000—CMR Pts. 2000-2003—RIC Pts. Single Center Retrospective Total n = 88 Int. grade B cell n = 28 (Stratified)	Donors MUD (17%)	Donors MUD (43%)	Hist. Subtypes Low grade B cell <u>Intermed grade B cell</u> (including DLBCL & transformed DLBCL)	33% 38%	30% 40%		Overall 1-year TRM	0%	6%
	SC Source PBSC (33%)	SC Source PBSC (90%)	Mantle cell T cell	21% 8%	13% 17%		<u>Int Grade Only</u> 2-year PFS	44%	31% .18
Med Age (range) CMR 44 y (18-54) RIC 51 y (20-67)	Allo SCT	Allo SCT	Prior Auto SCT	10%	40%	.002	2-year OS	50%	36% .56
			Med Prior Chemo Reg	3	2	.02	2-year Relapse	12%	44% .02
			Chemosensitive at SCT	50%	78%	.007			

Allo indicates allogeneic; Auto, autologous; Bu, busulfan; Chemo, chemotherapy; CMR, conventional myeloablative regimens; CR, Complete remission; Cy, cyclophosphamide, DLBCL, diffuse large B cell lymphoma; Flu, fludarabine; f-TBI, fractionated total-body irradiation; Mel, melphalan; MUD, matched unrelated donor; NHL, Non-Hodgkin lymphoma; PBSC, peripheral blood stem cells; PFS, progression-free survival; RIC, reduced-intensity conditioning; OS, overall survival; SCT, stem cell transplantation; TRM, treatment-related mortality.

\*Quality and strength of evidence definitions are listed in Table 1.



new trials or ongoing studies that are currently accruing patients, maturing follow-up, or have been published in abstract form. None of the data described below was used as evidence for the review or for making treatment recommendations. This section is provided for the reader's information only.

### Induction

- **Identify more effective induction regimens to optimize disease response and reduce the need for autologous SCT.**

There are no ongoing studies that address this area of needed research.

- **Identify and examine the efficacy of predictive tests (ie, PET scanning) to classify patients who are at high risk for early treatment failure (those who are primary refractory to initial therapies and those who respond but quickly relapse) and candidates for autologous SCT.**

Trneny et al. [29] prospectively recorded the PET scans at the end of induction treatment in 123 patients with relapsed or refractory DLBCL enrolled in the CORAL study. PET was negative in 61 patients and positive in 62. Of these, 60 and 58 patients completed three cycles of RICE or RDHAP, with 50 PET- and 26 PET+ patients receiving BEAM + autologous SCT. Intent-to-treat analysis found a significant improvement in for 3-year EFS (40% versus 16%,  $P < .0001$ ) and 3-year OS (66% versus 49%,  $P = .007$ ) for PET- and PET+ patients, respectively. For patients who underwent autologous SCT, there was a significant improvement in EFS ( $P = .03$ ) for PET-, but no significant difference in PFS or OS.

- **Update the IPI to include molecular markers and/or gene expression profiling to better discriminate prognostic groups that would benefit from SCT.**

There are no ongoing studies that address this area of needed research.

- **Determine the potential benefit of first-line autologous SCT for patients with central nervous system involvement.**

There are no ongoing studies that address this area of needed research.

### Salvage after Induction Failure

- **Identify effective salvage regimens to optimize disease response prior to autologous SCT.**

Gisselbrecht et al. [30] presented the preliminary intent-to-treat analysis of 396 patients enrolled in the ongoing multicenter intergroup Phase III

Collaborative Trial in Relapsed Aggressive Lymphoma (CORAL) study, which began in 2003 in 11 countries and investigated the choice of salvage therapy in refractory or relapsed DLBCL. Patients were randomized to receive three cycles of RICE or RDHAP, and responders were treated by autologous SCT with BEAM. Autologous SCT was performed in 206 patients. No difference was found between RICE and RDHAP in response rate (63.5%, confidence interval [CI] 56%-70% versus 62.8%, CI 55%-69%) or 3-year EFS (26% versus 35%,  $P = .60$ ). Three-year EFS was negatively affected by prior exposure to rituximab versus no exposure (21% versus 47%,  $P < .0001$ ).

At a median follow-up of 27 months, Mounier et al. [31] presented the findings of a GELA Phase II (LNH-2003-3) case-controlled study of the survival benefit of intensive high dose therapy with rituximab + ACVBP followed by BEAM and autologous PBSCT versus ACVBP + autologous PBSCT. From 2004 to 2005, 209 patients received the R+ACVBP regimen and were matched with patients from the LNH-98-3 trial who received the ACVBP regimen. Intent-to-treat 3-year PFS was significantly higher in the R+ACVBP than in the ACVBP arm (75% versus 58%,  $P = .0003$ ), as was the estimated 3-year OS (78% versus 67%,  $P = .05$ ).

### High-Dose Therapy Regimens with Autologous SCT

- **Identify effective high dose therapy regimens to optimize complete response, improve hematopoietic recovery, and reduce TRM and incidence of secondary disease.**

The Bone Marrow Transplant-Clinical Trials Network (BMT-CTN) has sponsored a randomized, Phase III trial (Protocol 0401) that compares the high-dose regimens rituximab + BEAM versus I131-tositumomab + BEAM followed by autologous SCT in adult (18-80 years) patients with persistent or recurrent chemotherapy-sensitive DLBCL. A total of 224 patients have been accrued. The primary outcome measure is PFS, with secondary outcome measures including OS, time to progression, complete response, and partial response at day 100, time to hematopoietic recovery, hematologic function, toxicity, TRM, and incidence of secondary disease.

The M.D. Anderson Cancer Center has sponsored a randomized trial (NCT00472056) comparing standard ( $375 \text{ mg/m}^2$ ) versus high-dose ( $1000 \text{ mg/m}^2$ ) rituximab + BEAM followed by autologous SCT in adult (up to 80 years) patients with relapsed DLBCL. A total accrual of 100 patients is projected. DFS in the two treatment arms is the primary outcome measure for patients 65 years or younger; DFS and TRM are the outcome measures of interest for patients older than 65 years.

Another M.D. Anderson Cancer Center sponsored randomized trial (NCT00591630) compares 90Y-ibritumomab tiuxetan + BEAM + rituximab versus BEAM + rituximab with or without rituximab maintenance (applicable to next section) after autologous SCT for adult (18-70 years) patients with relapsed, chemotherapy-sensitive DLBCL. A total accrual of 100 patients is projected, and PFS is the primary outcome measure.

### Maintenance Therapy After Autologous SCT

• **Identify effective maintenance regimens to optimize disease control post-autologous SCT.**

The Gisselbrecht et al. CORAL study identified above [30] also investigated the role of rituximab maintenance after autologous SCT. A second randomization after autologous SCT allocated patients to observation or maintenance therapy with rituximab for one year. Longer follow-up is needed for the analysis of this second randomization.

The Eastern Cooperative Oncology Group (ECOG) has sponsored a randomized, multicenter, Phase III trial (NCT00052923) comparing autologous SCT with or without rituximab maintenance for adult (18-70 years) patients with relapsed or progressive DLBCL. A total accrual of 427 patients is projected; OS and TRM are the primary outcome measures.

CureTech Ltd. has sponsored a Phase II, multicenter study (NCT00532259) with Northwestern University investigating the safety and efficacy of the monoclonal antibody CT-011 as maintenance therapy following autologous PBSCT in adult patients (18+ years) with relapsed DLBCL. A total of 70 patients has been accrued; PFS, EFS, OS, and toxicity are the outcome measures of interest.

The Case Comprehensive Cancer Center has sponsored a Phase I/II trial (NCT01045928) investigating the efficacy and best dose of lenalidomide + rituximab as maintenance therapy after autologous SCT for adult (18+ years) patients with B cell NHL, including DLBCL patients. Expected enrollment is 71 patients. Primary outcomes measures are maximum tolerated dose and safety of lenalidomide + rituximab (Phase I) and tolerability of the maintenance therapy (Phase II); a secondary outcome measure is PFS (Phase II).

The University of Nebraska has sponsored a Phase I/II trial (NCT01035463), investigating the efficacy and best dose of lenalidomide as maintenance therapy after combination chemotherapy with or without rituximab and autologous SCT for adult (19+ years) patients with persistent or recurrent NHL that is resistant to chemotherapy. Expected enrollment is 44 patients. Primary outcome measures are maximum tolerated dose and toxicity of lenalidomide (Phase I); secondary outcome measures are OS, EFS, and complete response rate (Phase II).

The Fred Hutchinson Cancer Research Center has sponsored a Phase II trial (NCT00992446) investigating the efficacy and safety of bortezomib and vorinostat as maintenance therapy after autologous SCT in adult (18+ years) patients with NHL, including DLBCL patients. Estimated enrollment is 20 patients, and time to progression and toxicity are the outcome measures of interest.

### Salvage after Failed SCT

• **Examine the efficacy of reduced intensity allogeneic SCT as rescue after a failed autologous SCT.**

There are no ongoing studies that address this area of needed research.

### Other Ongoing Studies

The following are ongoing studies that did not relate to any of the areas of needed research suggested by the expert panel, but whose future outcomes may affect treatment recommendations.

#### Autologous SCT versus Non-SCT Therapy

The Southwest Oncology Group (SWOG) has sponsored a randomized, multicenter, Phase III trial (NCT00004031) comparing the effectiveness of standard CHOP  $\pm$  R ( $\times$ 8 cycles) versus CHOP  $\pm$  R ( $\times$ 6 cycles) followed by autologous PBSCT with total body irradiation (TBI)-based regimens for treating adult (15-65 years) patients with diffuse aggressive intermediate/high grade NHL. Patients in the CHOP  $\pm$  R arm can cross over to the SCT arm at the time of disease progression. The target accrual is 360 patients; OS and PFS are the primary outcome measures.

The British National Lymphoma Investigation has sponsored a randomized, multicenter, Phase III trial (NCT00003578) comparing the effectiveness of high dose chemotherapy alone versus SCT as part of planned initial therapy for adult (16-65 years) patients with poor risk intermediate/high grade NHL, including DLBCL patients. A total accrual of 500 patients is projected.

#### Reduced-intensity allogeneic SCT as consolidation after autologous SCT

Stanford University and the National Institutes of Health have sponsored a Phase II, non-randomized trial (NCT00482053) investigating a nonmyeloablative conditioning regimen of total lymphoid irradiation and antithymocyte globulin (ATG) followed by an HLA-matched allogeneic SCT as consolidation after autologous SCT in adult (18-70 years) patients with poor risk recurrent or primary refractory DLBCL. The estimated enrollment is 30 patients. Primary outcome measures are EFS and toxicity; OS and TRM are secondary outcome measures.



### Nonmyeloablative/RIC for allogeneic SCT

M.D. Anderson Cancer Center has sponsored a single-center study (NCT00880815) investigating the efficacy of a nonmyeloablative regimen of fludarabine, bendamustine, and rituximab as conditioning prior to allogeneic SCT for adult (18-70 years) patients with lymphoid malignancies, including DLBCL patients who are not eligible for autologous SCT and who have an HLA-matched sibling donor. The projected accrual is 46 patients, and the primary outcome measure is maximum tolerated dose and toxicity.

### STRENGTHS/LIMITATIONS AND DISCUSSION

The strengths of this updated systematic evidence-based review are the details about each study's design and outcomes conveyed in the summary tables for each major section, and the treatment recommendations made by the DLBCL expert panel. A limitation is the exclusion of nonpeer-reviewed data. Unpublished data can represent "negative" findings that could lead to publication bias; however, the inclusion of high-quality, peer-reviewed publicly available data was of paramount importance. Except in the Ongoing Studies section, data published in abstract form were not included in this review because of the inadequate details of study design or patient characteristics, making a true assessment of the widespread applicability or impact of the treatment outside the scope of the trial difficult.

A limitation of the DLBCL EBR Update is that much of the newly presented data is already obsolete in terms of the current standard of care, stressing the need for more timely updates of the EBRs. For example, an abundance of research on the effectiveness of rituximab prior to autologous SCT has been published since the original DLBCL EBR, but as rituximab is now the current standard of care, these studies are not useful for making treatment recommendations. In addition, the lengthy process of conducting and reporting clinical research emphasizes the need to identify surrogate endpoints or molecular markers that are predictive of long-term survival in DLBCL patients. Further delineation of clinical risk factors may facilitate appropriate selection of DLBCL patients for autologous versus allogeneic SCT.

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## APPENDIX A: METHODOLOGY OF THE DIFFUSE LARGE B CELL LYMPHOMA EVIDENCE-BASED REVIEW UPDATE

### Introduction

In 1999, the American Society for Blood and Marrow Transplantation (ASBMT) began developing systematic evidence-based reviews (EBR) and position statements on the effectiveness of autologous and allogeneic hematopoietic stem cell transplantation (SCT) for specific diseases. The purpose of these reviews is to provide evidence in support of clinical decisions and matters of public policy regarding SCT and achieve broader and more consistent coverage from payers for established indications for SCT. The ASBMT EBR Steering Committee developed specific policies outlining the methodology to be followed for these reviews [1,2]. Currently, eight reviews have been published in *Biology of Blood and Marrow Transplantation (BBMT)* on the use of SCT in the therapy of: diffuse large B cell lymphoma (DLBCL) [3], multiple myeloma [4], pediatric acute lymphoblastic leukemia (ALL) [5], adult ALL [6], pediatric acute myelogenous leukemia (AML) [7], adult AML [8], myelodysplastic syndromes (MDS) [9], and follicular lymphoma [10].

In 2009, the ASBMT EBR Steering Committee determined that previously published reviews should be updated regularly at approximately five-year intervals. The purpose of the updates is to provide a summary of recent clinical evidence, provide timely treatment recommendations, and determine if new evidence strengthens or changes the treatment recommendations provided in the original EBR. By providing these updates, physicians will have access to timely information that will facilitate and help disseminate advances in the field of transplantation. To guide its own activities and that of the expert panel associated with each review, the ASBMT EBR Steering Committee developed a policy statement specifying the methodology to be followed for updating each review [11]. The same expert panel members associated with the original EBR are invited to participate in the update process as well.

### Expert Panel Selection for EBRs

To achieve an appropriate balance, physicians who have extensive clinical experience and published research studies using SCT and other therapies in the treatment of the specific disease of interest are invited to join an independent expert panel that examines the summarized literature and provides subsequent treatment recommendations based on the available evidence. Potential panelists are restricted to U.S.-based institutions for 2 reasons: (1) ease of logistics in convening teleconferences, and (2) differences in the health care systems and health insurance coverage

between the United States and other countries (including Canada, Europe, etc.), which may result in different expert recommendations based on considerations of costs and access to care. In addition to clinical and research physicians, at least one third-party payer representative, a patient advocate, and a liaison to the ASBMT Steering Committee are invited to serve on the panel.

### Literature Search Methodology for the DLBCL Update

PubMed and Medline, the Web sites developed by the National Center of Biotechnology Information at the National Library of Medicine of the National Institutes of Health, were first searched on June 10, 2008, using the search terms “diffuse large B cell lymphoma” OR “DLBCL” AND “transplant” limited to “human trials,” “English language,” and a publication date of January 1, 2001, or later. Updated searches were conducted on April 10, 2009, and November 4, 2009. In addition to the online database searches, a manual search of the reference lists of the included articles and relevant reviews published since 2000 was conducted. Papers that were published before January 2001, included fewer than 25 DLBCL patients, or were not peer reviewed were excluded. Also excluded were editorials, letters to the editor, Phase I (dose escalation or dose finding) studies, reviews, consensus conference papers, practice guidelines, and laboratory studies with no clinical correlates. Unlike the original DLBCL EBR, abstracts and presentations at national or international meetings were not used for the treatment recommendations in this update for reasons previously described [5]. However, abstracts are included in the “Areas of Needed Research and Ongoing Studies” section for the reader’s information. Many of the studies evaluated for inclusion in this DLBCL update presented results for high risk or aggressive lymphoma; therefore, to be included, at least 60% of a study’s patients had to have DLBCL, unless the results were stratified by histologic subtype of lymphoma.

### Qualitative and Quantitative Grading of the Evidence

The hierarchy of evidence, including a new grading system for the quality and strength of the evidence and strength of each treatment recommendation, was published as an editorial policy statement in *BBMT* in 2005 [2]. Appendix A, and Tables 1 and 2, reprinted from the policy statement, define criteria used to grade the studies that were included in this update and criteria to grade the treatment recommendations, respectively. Study design, including sample size, patient selection criteria, duration of follow-up, and treatment protocol also were considered in evaluating

**Appendix A, Table 1. Grading the Quality of Design and Strength of Evidence**

Levels of Evidence
1++ High-quality meta-analyses, systematic reviews of randomized controlled trials (RCTs), or RCTs with a very low risk of bias
1+ Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
1- Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias
2++ High-quality systematic reviews of case-control or cohort studies. High quality case-control or cohort studies with a very low risk of confounding, bias, or chance, and a high probability that the relationship is causal
2+ Well-conducted case-control or cohort studies with a low risk of confounding, bias, or chance, and a moderate probability that the relationship is causal
2- Case-control or cohort studies with a high risk of confounding, bias, or chance, and a significant risk that the relationship is not causal
3 Nonanalytic studies (eg, case reports, case series)
4 Expert opinion

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the studies. Clinical studies are described in the tables with sufficient detail to give a concise summary of study design and patient outcomes.

All data in the text and tables were abstracted from the original manuscripts by the first author (D.O.) and double checked for accuracy and clarity by two other authors (T.H. and P.L.M.).

Some articles contained inconsistencies within the data reported; the data most consistent with the text of the article were included in this review.

**Format of the DLBCL Update**

Evidence is taken from studies published after 2000, which included DLBCL patients 15 years of age. Studies of “aggressive lymphoma” or “high-risk lymphoma” patients are included if DLBCL was the most common subtype included under those broader terms. For each section of the review, a summary paragraph provides an overall description of the number and types of studies included as evidence, as well as a brief synopsis of outcomes. As noted earlier, unlike

**Appendix A, Table 2. Grading the Strength of the Treatment Recommendation**

Grades of Recommendation
<b>A</b> At least one meta-analysis, systematic review, or randomized controlled trial (RCT) rated as 1++, and directly applicable to the target population; or a systematic review of RCTs or a body of evidence consisting principally of studies rated as 1+, directly applicable to the target population, and demonstrating overall consistency of results
<b>B</b> A body of evidence including studies rated as 2++, directly applicable to the target population, and demonstrating overall consistency of results; or extrapolated evidence from studies rated as 1++ or 1+
<b>C</b> A body of evidence including studies rated as 2+, directly applicable to the target population and demonstrating overall consistency of results; or extrapolated evidence from studies rated as 2++
<b>D</b> Evidence level 3 or 4; or extrapolated evidence from studies rated as 2+

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the original DLBCL EBR, in which each article was summarized in detail in the text, this update presents the study design, patient population, and clinical outcomes only in the detailed summary tables. The highest quality studies are presented in the tables first, whereas studies of equal quality are presented in descending order by study population size. When specific data elements of a study’s patient population or disease characteristics were not included in a table, it was because the information was not provided in the article.

**Consensus Process for Treatment Recommendations**

The Treatment Recommendations Table (Table 1 in the DLBCL Update) contains the summary of consensus treatment recommendations made by the expert panel based on the summarized evidence. The consensus process involves a teleconference during which panelists critically discuss the evidence for each section of the review and develop initial treatment recommendations according to the categories in Appendix A Table 2. The information is summarized by the primary authors in the Treatment Recommendations Table and distributed to the panelists for additional review and clarification. Any changes suggested by an individual panelist are circulated for review and approval by all panelists. This iterative process concludes when a final version of the Treatment Recommendations Table is approved by all panelists.

After the final draft of the review is approved by the disease-specific expert panel, it undergoes peer review and is then approved by the EBR Steering Committee and the ASBMT Executive Committee before submission to the journal. Any changes requested during the peer-review process must be reviewed and approved by all the expert panelists.

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**Appendix B. Comparative Studies of Autologous SCT Not Used for DLBCL TX Recommendations**

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes					
	Protocols by Treatment Group		Disease (at Dx unless stated) and Transplant Characteristics			Follow-up (Med, Range) & Pt. Outcomes	Treatment Groups		P	
			Disease Characteristic	Treatment Groups	P		(n)	(n)		
<b>UPDATE DATA (POST-2000 STUDIES)</b>										
<b>RITUXIMAB VERSUS NO RITUXIMAB PRIOR TO AUTOLOGOUS SCT FOR DE NOVO OR RELAPSED DLBCL</b>										
[32] Vellenga 2008	<b>No Ritux</b>	<b>Ritux</b>		<b>No Ritux</b>	<b>Ritux</b>	All >.05	(2.6 y, .75-5.6 y)	<b>No Ritux</b> (112)	<b>Ritux</b> (113)	
I+	Induction: CHOP (83%) Prior Ritux (4%)	Induction: CHOP (81%) Prior Ritux (4%)	<i>Diagnoses</i> Relapsed or refractory aggressive NHL	100%	100%		(ITT)			
2000-2005 HOVON Multicenter Enrolled n = 239 Randomized n = 225	Salvage Tx: DHAP	Salvage Tx: Ritux +DHAP	<i>Hist. Subtypes</i> DLBCL	88%	91%		Completed assigned Tx	46%	63%	
	VIM	Ritux +VIM	Grade III Follicular	10%	6%		Overall CR	35%	46%	<b>.003</b>
			Other	2%	3%		2-year PFS	31%	52%	<b>.002</b>
Med Age (range) No Ritux 53 y (25-65) Ritux 56 y (25-65)	HDT: BEAM	HDT: BEAM	<i>B symptoms</i>	22%	25%		2-year OS	52%	59%	.15
	Auto SCT	Auto SCT	<i>Risk Factors</i> aalPI ≥3	75%	85%					
	± RT for bulky disease	± RT for bulky disease	LDH >Normal	50%	57%					
			WHO PS = 1	38%	35%					
[33] Martin 2008	<b>No Induction Ritux</b>	<b>Induction Ritux</b>		<b>No Induct. Ritux</b>	<b>Induction Ritux</b>		(2.4 y,.50-6.9 y)	<b>No Induct. Ritux</b> (69)	<b>Induction Ritux</b> (94)	
2++	Induction: CHOP-like, No Ritux	Induction: CHOP-like, Ritux	<i>Diagnoses</i> Relapsed or refractory DLBCL	100%	100%		Completed HDT & SCT	65.2%	59.6%	>.10
2000-2007 GEL/TAMO Multicenter (25) Retrospective Analyzed n = 163	Salvage Tx: 1-6 × Ritux + ESHAP	Salvage Tx: 1-6 × Ritux + ESHAP	<i>Prior auto SCT</i>	18.8%	3.2%	<b>.001</b>	Overall CR	56%	37%	<b>.015</b>
	HDT: Mostly BEAM Or BEAC	HDT: Mostly BEAM or BEAC	<i>Prior Chemo Reg ≥2</i>	29%	8.5%	<b>.001</b>	TRM	1.4	2.1	>.10
Med Age (range) No Ritux 53 y (19-70) Ritux 55 y (23-70)	Auto SCT	Auto SCT	<i>R-ESHAP cycles ≥3</i>	72.5%	50%	<b>.004</b>	3-year PFS	57% CI 44%-70%	17% CI 13%-32%	<b>&lt;.001</b>
			<i>Bulky disease</i>	24.6%	30.4%	>.10	3-year OS	67% CI 56%-79%	38% CI 25%-51%	<b>&lt;.001</b>
			<i>B symptoms</i>	15.8%	30.9%	<b>.044</b>				
			<i>Risk Factors</i> aalPI ≥3	6.1%	7.7%	>.10				
			Stage ≥III	60.8%	62.7%	>.10				
			LDH >Normal	41%	44%	>.10				
			≥2 Ex-nodal sites	49.3%	47.9%	>.10				

(Continued)



Appendix B. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes				
	Protocols by Treatment Group		Disease (at Dx unless stated) and Transplant Characteristics			Treatment Groups			
			Disease Characteristic	Treatment Groups	P	Follow-up (Med, Range) & Pt. Outcomes	(n)	(n)	P
<b>[34]</b> Vitolo 2009	<b>No Ritux (Historical Control)</b>	<b>Ritux</b>	<i>Diagnoses</i> de novo Aggressive B cell lymphomas	<b>No Ritux</b> 100%	<b>Ritux</b> 100%	<b>No Ritux</b> (6 y) <b>Ritux</b> (4.1y) (Ranges not stated)	<b>No Ritux</b> (41)	<b>Ritux</b> (94)	
2++	8x MACOP-B	4x Ritux+MegaCEOP							
2002-2005 GIMURELL Multicenter Prospective trial n = 94 1991-1995	2x MAD ± RT for bulky disease	2x Ritux + MAD ± RT for bulky disease	<i>Hist. Subtypes</i> DLBCL PMBL Grade IIIb Follicular	85% 15% 0%	86% 11% 3%	(ITT)			
Historical Control n = 41 Total analyzed n = 135	HDT: BEAM Auto PBSCT	HDT: BEAM Auto PBSCT	<i>BM Involvement</i>	44%	28%	Completed Assigned Tx	76%	81%	
<i>Med Age (range)</i> No Ritux 46 y (19-59) Ritux 47 y (19-60)			<i>B symptoms</i>	59%	48%	Overall CR	Not stated	82%	
			<i>Bulky disease</i>	61%	45%	4-year FFS	44% CI not stated	73% CI 63.5%-82.5%	<b>.001</b>
			<i>Risk Factors</i> aaIPI ≥3 Stage ≥III ≥2 Ex-nodal sites PS ≥2	100% 100% 54% 63%	100% 100% 35% 64%	4-year OS	54% CI not stated	80% CI 71.6%-88.4%	<b>.002</b>
<b>[35]</b> Fenske 2009	<b>No Ritux</b>	<b>Ritux</b>	<i>Diagnoses</i> DLBCL	<b>No Ritux</b> 100%	<b>Ritux</b> 100%	<b>No Ritux</b> (3.5 y, .08-9.7 y)	<b>No Ritux</b> (818)	<b>Ritux</b> (176)	
2+		Ritux as first-line 38% Ritux as salvage 62%				<b>Ritux</b> (3.5 y, .17-6.9 y)			
1996-2003 CIBMTR Multicenter Retrospective Analyzed n = 994	HDT TBI-based (14%) BEAM or like (64%) CBV or like (9%) Bu-Mel/Bu-Cy (6%) Other (7%)	HDT TBI-based (15%) BEAM or like (58%) CBV or like (15%) Bu-Mel/Bu-Cy (6%) Other (6%)	<i>Disease Status at SCT</i> CR1 CR2 PIF-sensitive PIF-resistant Relapse-sensitive Relapse-resistant	17% 16% 20% 6% 34% 7%	22% 20% 18% 7% 25% 8%	3-year NRM	16%	11%	<b>.06</b>
<i>Med Age (range)</i> No Ritux 52 y (18-75) Ritux 58 y (20-76) <b>(P &lt; .001)</b>	Auto PBSCT (92%) Auto BMT (8%) ± RT for bulky disease	Auto PBSCT (94%) Auto BMT (6%) ± RT for bulky disease	<i>Prior Chemo Reg &gt;2</i>	40%	57%	3-year PFS	38%	50%	<b>.008</b>
		No Ritux as part of conditioning or as post-SCT maintenance	<i>Med Dx to SCT (range)</i>	1.1 y (.25-17 y)	1.2 y (.17-23 y)	3-year OS	45%	57%	<b>.006</b>
			<i>BM Involvement</i>	5%	2%				<b>.06</b>
			<i>Bulky disease</i>	35%	27%				<b>.23</b>
			<i>Risk Factors</i>						

(Continued)

Appendix B. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes					
	Disease (at Dx unless stated) and Transplant Characteristics				Treatment Groups					
	Protocols by Treatment Group		Disease Characteristic	Treatment Groups	P	Follow-up (Med, Range) & Pt. Outcomes	(n)	(n)	P	
			aalPI ≥3 @ SCT	16%	11%	.27				
			Stage ≥III	65%	71%	.10				
			Karnofsky PS <90	37%	39%	.66				
<b>[36]</b> Kewalramani 2004	<b>No Ritux (ICE) (Historical Control)</b>	<b>Ritux (RICE)</b>	<i>Diagnoses</i> Refractory or relapsed DLBCL	<b>No Ritux</b> 100%	<b>Ritux</b> 100%		(2.4 y, Not stated)	<b>No Ritux</b> (147)	<b>Ritux</b> (36)	
2-	3x ICE	3x RICE (included 4x Ritux)	<i>Risk Factors</i> Age >60 y	12%	50%	.10	Completed assigned Tx	65%	78%	
Study years not stated	HDT not stated	HDT: BEAM,	aalPI ≥2	60%	47%	.19	CR post-ICE or RICE	27%	53%	.01
Single Center	Auto SCT	TBI + lfo + VP-16 ,	Stage ≥III	79%	72%	.38	CI 20%-34%	CI 36%-69%		
Prospective trial n = 36	(Details not stated)	TBI + Cy + VP-16, or CBV	LDH >Normal	42%	50%	.45				
Historical control n = 147			Karnofsky PS <80	34%	22%	.23				
Med Age (range)		Auto PBSCT + GF					Overall Response rate	71%	78%	.53
No Ritux 48 y (18-68)							2-year PFS	43%	54%	.25
Ritux 45 y (23-72)							CI 34%-55%	CI 38%-78%		
							2-year OS	56%	67%	.53
							CI 47%-67%	CI 50%-89%		
<b>[37]</b> Han 2006	<b>No Ritux (LEED)</b>	<b>Ritux (R-LEED)</b>	<i>Diagnoses</i> aggressive or relapsed NHL	<b>No Ritux</b> 100%	<b>Ritux</b> 100%	Not Stated	<b>LEED</b> (2.4 y)	<b>No Ritux</b> (26)	<b>Ritux</b> (24)	
2-	<i>Induction/Salvage</i> CHOP for de novo EPOCH, VADE, or DeVIC for salvage	<i>Induction/Salvage</i> CHOP for de novo EPOCH, VADE, or DeVIC for salvage	<i>Hist. Subtypes</i> DLBCL	50%	75%		<b>R-LEED</b> (1.5 y)			
2001-2005			T cell lymphoma	39%	9%		(Ranges not stated)			
Single Center	HDT	HDT	MC	8%	0%		TRM	0%	0%	
Prospective	LEED	LEED + Ritux	Lymphoblastic	3%	0%		PFS	79.6%	66.9%	Not stated
n = 50	(pts >70 y rec'd 30% reduction of full dosage)	(pts >70 y rec'd 30% reduction of full dosage)	SLL	0%	4%		OS	78.2%	66.5%	Not stated
Med Age (range)			FL	0%	4%					
No Ritux 63.5 y (28-78)			Burkitt	0%	4%					
Ritux 60 y (42-74)	Auto SCT + GF	Auto SCT +GF	Intravascular B cell	0%	4%					
			<i>Disease Status at SCT</i>							
			CR1	46%	67%					
			≥CR2	23%	16%					
			PR	27%	17%					
			Refractory	4%	0%					
			<i>BM Involvement</i>	23%	17%					
			<i>Risk Factors</i>							
			Age >70 y	19%	21%					
			aalPI ≥3	81%	92%					
			Stage ≥III	92%	92%					

(Continued)

Appendix B. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes					
	Disease (at Dx unless stated) and Transplant Characteristics				Treatment Groups					
	Protocols by Treatment Group		Disease Characteristic	Treatment Groups	P	Follow-up (Med, Range) & Pt. Outcomes	(n)	(n)	P	
<b>RITUXIMAB VERSUS NO RITUXIMAB BEFORE AND AFTER AUTO SCT FOR RELAPSED AGGRESSIVE B-CELL NHL</b>										
[38] Khouri 2005 2++	No Ritux Unspecified chemo	Ritux 1 × Ritux 1 day prior to unspec. chemo + GF	Diagnoses aggress. B cell NHL	No Ritux 100%	Ritux 100%	All > .05	No Ritux (2.5 y, .17-8.3 y)	No Ritux (30)	Ritux (67)	
2000-2003 Single Center Prospective trial n = 67 1994-1996 Historical Control n = 30 Total analyzed n = 97	PBSC Mobilization Ifo + VP-16 + GF	PBSC Mobilization Cy (n = 34) or Ifo + VP-16 (n = 33) + GF + Ritux	Hist. Subtypes DLBCL FL	57% 43%	61% 39%	.40	Ritux (1.7 y, .17-3.5 y)	TRM 0%	0%	
Med Age (range) No Ritux 51 y (27-60) Ritux 51 y (20-65)	HDT BEAM Auto PBSCT + GF	HDT BEAM Auto PBSCT + GF + Ritux 1 and 8 days after PBSCT	Disease Status at SCT PIF sensitive 1st relapse, sensitive > 1st relapse sensitive Stable, untreated	0% 80% 17% 3%	36% 51% 7% 6%	<.01 .01 .30 .50	2- year DFS CI 26%-60%	43% CI 51%-79%	67% CI 65%-89%	.004
			Med # Chemo Reg (range)	2 (2-4)	2 (1-5)	.20	2-year OS CI 34%-69%	53% CI 34%-69%	80% CI 65%-89%	.002
			Med Dx to SCT (range)	2.1 y (.67-10 y)	1.8 y (.33-12 y)	.60				
			B/M Involvement	77%	84%	.40				
			Risk Factors							
			Age >60	0%	21%	.007				
			IPI ≥2 at SCT	0%	18%	.01				
			Stage ≥III	40%	24%	.10				
			LDH >Normal	13%	21%	.30				
[39] Tarella 2008 2+	No Ritux HDS Cy + GF	Ritux HDS Cy + GF	Diagnoses HR B Cell NHL	No Ritux 100%	Ritux 100%		(5 y, Not stated)	No Ritux (396)	Ritux (349)	
1986-2005 GITIL Multicenter (10) Retrospective Analyzed n = 745 DLBCL n = 522 (stratified)	MTX + VP-16 + GF ± Ara-C Auto PBSCT	4x Ritux MTX + VP-16 + GF ± Ara-C Auto PBSCT	Hist. Subtypes DLBCL FL	73%	67%		Completed assigned Tx	90%	87%	
Med Age (range) No Ritux 44 y (17-65) Ritux 49 y (18-65)	± RT for bulky disease	Auto PBSCT 2 × Ritux ± RT for bulky disease	HDS Use First-line therapy Salvage therapy: PR Ref/Relapsed	61% 39% 23% 77%	49% 51% 9% 91%	.002	Overall CR TRM DLBCL Only: 5-year EFS	73% 3.3% 53%	81% 2.8% 58%	.91 .006
			Salvage pts who rec'd Ritux in first-line Tx	1%	26%		5-year OS	57%	63%	.005

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Appendix B. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design			Patient Outcomes					
	Protocols by Treatment Group	Disease (at Dx unless stated) and Transplant Characteristics			Follow-up (Med, Range) & Pt. Outcomes	Treatment Groups			
		Disease Characteristic	Treatment Groups			P	(n)	(n)	P
			HDS Scheme Rec'd						
			Original	79%	11%				
			Ara-C supplemented	21%	89%				
			BM Involvement	28%	39%				
			Risk Factors						
			Age ≥47 y	42%	54%				.009
			aalPI ≥2	59%	63%				> .05
[40] Kamezaki 2007	No Ritux	Ritux	Diagnoses	No Ritux	Ritux	Not Stated	No Ritux (4.3 y)	No Ritux (20)	Ritux (23)
2-	Induction 6x CHOP	Induction 6x CHOP + 4x Ritux	de novo DLBCL	100%	100%		Ritux (2.6 y)		
2001-2006 Multicenter Retrospective n = 43	PBSC Mobilization VP-16 + GF	PBSC Mobilization Ritux + VP-16 + GF	Stage III or IV	100%	100%		(Ranges not stated)		
			Disease Status at SCT			.37			
			CR	45%	57%		TRM	0%	0%
			CRu	40%	30%				
			PR	15%	13%		1- year PFS	78.3%	80.2%
Med Age (range) No Ritux 52 y (15-65) Ritux 58 y (21-65)	HDT Ranimustine + Carboplatin + VP-16	HDT Ranimustine + Carboplatin + Ritux + VP-16	Med Dx to SCT (range)	.47 y (.38-.82 y)	.48 y (.37-.71 y)	.43			.59
	Auto PBSCT+ GF	Auto PBSCT + GF + Ritux on day -9 and + 1 after PBSCT (Total 8x Ritux)							
<b>TIMING OF AUTOLOGOUS SCT</b>									
[41] Holtan 2006	TILC <55 d	TILC ≥55 d	Diagnoses	TILC <55 d	TILC ≥55 d		(2.9 y, .08 - 12.1 y)	TILC <55 d (89)	TILC ≥55 d (71)
2+	BEAM (60%) BEAC (37%) Cy+TBI (3%)	BEAM (52%) BEAC (41%) Cy+TBI (7%)	NHL	100%	100%		5-year PFS	31%	52%
1996-2001 Single Center Retrospective analysis n = 160	Auto BMT	Auto BMT	Hist. Subgroups			.10	5-year OS	39%	64%
			DLBCL	66%	63%				
			FL	14%	21%				
			T cell	10%	1.5%				
			MC	7%	13%				
			Other	3%	1.5%				
Med Age (range) TILC < 55 d 52 y (23-73) TILC ≥ 55 d 54 y (31-72) (P = .96)			Disease Status at SCT			.50			
			CR	11%	17%				

(Continued)

## Appendix B. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design			Patient Outcomes			
	Protocols by Treatment Group	Disease (at Dx unless stated) and Transplant Characteristics			Treatment Groups		
		Disease Characteristic	Treatment Groups	P	Follow-up (Med, Range) & Pt. Outcomes	(n)	(n)
		PR	84%	79%			
		First relapse	1%	1.5%			
		Second relapse	2%	0%			
		Refractory	2%	2.5%			
		Prior Chemo Reg >2	25%	24%	.45		
		Risk Factors					
		Age ≥60	33%	30%	.73		
		IPI ≥3	14%	7%	.62		
		Stage ≥III	71%	63%	.40		
		LDH >Normal	34%	28%	.49		
		≥2 Ex-nodal sites	6%	3%	.02		
		PS ≥2	6%	4%	.18		

## INTENSIVE VERSUS NON-INTENSIVE STEM CELL MOBILIZATION PRIOR TO AUTOLOGOUS PBSCT

[42] Damon 2008	Nonintense (SR for relapse)	Intense (HR for relapse)	Diagnoses	Nonintense	Intense	(2.5 y, .10-7.6 y)	Nonintense (30)	Intense (50)	
2+	Salvage (R)CHOP or ESHAP or Other or None	Salvage (R)CHOP or (R)ESHAP or (R)ICE or Other or None	HR NHL	100%	100%				
1999-2005			PIF	40%	56%	.34	TRM	0%	6%
Single Center			CR1 <1 year (number/evaluable)	6/21	16/28	.04	DLBCL only:		
Retrospective analysis	SC Mobilization Cy + GF	SC Mobilization Cy + VP-16 ± Ritux or VP-16 + Ara-C or VP-16 + Ara-C + Ritux	In CR after salvage	48%	35%	.23	4-year EFS	51 ± 29%	67 ± 22%
N = 80			Med # Chemo Reg (range)	2 (1-6)	2 (1-5)	.05	4-year OS	56 ± 25%	76 ± 20%
Med Age (range)			BM Involvement	40%	44%	.87			
Non-Intense 54 y (21-68)	PBSC Purging ex vivo with mAbs or in vivo with Ritux ± GF	PBSC Purging ex vivo with mAbs or in vivo with Ritux + GF	Risk Factors						
Intense 55 y (23-69)			IPI ≥3	29%	46%	.27			
Hist. Subtypes			Stage ≥III	73%	88%	.21			
DLBCL 54% (stratified)			LDH ≥Normal	40%	68%	.01			
MC 15%	HDT: CBV	HDT: CBV	>1 Ex-nodal sites	28%	36%	.44			
LG transformed 12%			PS ≥2	7%	21%	.12			
T-cell 7%									
Follicular (4%)									
Primary CNS (4%)	Auto PBSCT ± GF	Auto PBSCT ± GF							
Burkitt (2%)									
Intravascular (2%)									

(Continued)

Appendix B. (Continued)

[Ref #], Quality & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes				
	Disease (at Dx unless stated) and Transplant Characteristics				Treatment Groups				
	Protocols by Treatment Group		Disease Characteristic	Treatment Groups	P	Follow-up (Med, Range) & Pt. Outcomes	(n)	(n)	P
<b>COMPARISON OF ORAL VERSUS IV BUSULFAN PRIOR TO AUTOLOGOUS SCT</b>									
[43]	Oral Bu	IV Bu	Oral Bu	IV Bu	Not stated	(8 y, 7-11 y)	Oral Bu (18)	IV Bu (31)	
Aggarwal 2006	PBSC Mobilization GF	PBSC Mobilization GF ± Cy	Diagnoses						
2-			HR Aggressive NHL	100%	100%				
			Hist. Subtypes			TRM	28%	3%	.01
1994-2003	HDT	HDT	DLBCL	89%	77%				
Single Center	Oral Bu + Cy + VP-16	IV Bu + Cy + VP-16	T cell	0%	10%	4-year PFS	17%	50%	.008
Retrospective			MC	5.5%	7%				
Total n = 49	Auto PBSCT	Auto SCT (84% PBSCT)	Burkitt	0%	3%	4-year OS	28%	58%	.01
			Anaplastic	0%	3%				
Med Age (range)			Immunoblastic	5.5%	0%				
Oral Bu 53 y (18-69)			Disease Status at SCT						
IV Bu 51 y (19-68)			PR I	0%	3%				
			≥CR2	22%	13%				
			PIF	17%	16%				
			Relapse—sensitive	39%	39%				
			Relapse—resistant	5%	16%				
			Relapse—untreated	17%	13%				
			# of Chemo Reg >2	94%	81%				
			Risk Factors						
			Age >60 y	17%	23%				
			aaIPI ≥3	34%	29%				
			Stage ≥III	100%	100%				
			LDH ≥Normal	33%	29%				
			Karnofsky PS <90	56%	29%				

aaIPI indicates age-adjusted International Prognostic Index; AC/ACE, doxorubicin/cyclophosphamide/etoposide/prednisone; ACVBP, doxorubicin/cyclophosphamide/vindesine/ bleomycin/prednisone; Ara-C, cytarabine; Auto; autologous; BEAC, carmustine/etoposide/cyclophosphamide/cytarabine; BEAM, carmustine/etoposide/cytarabine/melphalan; BM, bone marrow; Bu, busulfan; CBV, cyclophosphamide/carmustine/etoposide; CHOP, cyclophosphamide/doxorubicin/vincristine/prednisone; CI, 95% confidence interval; CIBMTR, Center for International Blood and Marrow Transplant Research; CR, complete remission; CRu, CR unconfirmed; Cy, cyclophosphamide; DeVIC, carboplatin/ etoposide/ifosfamide/dexamethasone; DHAP, cisplatin/cytarabine/dexamethasone; DFS, disease-free survival; DLBCL, diffuse large B cell lymphoma; Dx, diagnosis; EFS, event-free survival; EPOCH, adriamycin/etoposide/vincristine/cyclophosphamide/prednisone; ESHAP, etoposide/cytarabine/methylprednisolone/cisplatin; FFS, failure-free survival; FL, follicular lymphoma; GEL/TAMO, Grupo Español de linfomas/Trasplante Autólogo de Médula Ósea; GF, growth factor; GIMURELL, Gruppo Italiano Multiregionale Linfomi e Leucemie; GITIL, Gruppo Italiano Terapie Innovative nei Linfomi; HDS, high-dose sequential therapy; HDT, high-dose therapy; HOVON, Dutch-Belgian Hemato-Oncology Cooperative Group; HR, high risk; ICE, ifosfamide/carboplatin/etoposide; Ifo, ifosfamide; IPI, International Prognostic Index; LDH, lactate dehydrogenase; LEED, cyclophosphamide/etoposide/ melphalan/dexamethasone; MACOP-B, methotrexate/doxorubicin/cyclophosphamide/vincristine/prednisone/ bleomycin; MAD, mitoxantrone/ cytarabine/dexamethasone; MC, mantle cell; MegaCEOP, cyclophosphamide/epirubicin/vincristine/prednisone; Mel, melphalan; Mito, mitoxantrone; MTX, methotrexate; NHL, non-Hodgkin Lymphoma; NRM, nonrelapse mortality; PBSCT, peripheral blood stem cell transplantation; PFS, progression-free survival; PIF, primary induction failure; PMBL, primary mediastinal B cell lymphoma; PR, partial remission; PS, performance status; OS, overall survival; RICE, ritux + ICE; Ritux, rituximab; RT, radiation therapy; SCT, stem cell transplantation; SLL, small lymphocytic lymphoma; TBI, total body irradiation; TRM, treatment-related mortality; Tx, treatment, VADE, vincristine/adriamycin/dexamethasone/etoposide; VIM, etoposide/ifosfamide/methotrexate; VP-16, etoposide, WF, working formulation.

\*Quality and strength of evidence definitions are listed in Table 1.

## Appendix C. Noncomparative Studies of Autologous SCT for DLBCL

Study Design		Patient Outcomes		
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
<b>UPDATE DATA (POST-2000 STUDIES)</b>				
<b>MULTIPLE OR TANDEM AUTOLOGOUS SCT AS FIRST-LINE THERAPY FOR DLBCL</b>				
[44] Tarella 2007	Debulking 3 × APO	Diagnoses de novo HR DLBCL	100%	[4 y (Not stated)]
2+	HDS + Multiple Auto PBSCT Cy + Ritux	Hist. Subtypes DLBCL	79%	Completed Tx 83%
1999-2004 GITIL Multicenter (6) Prospective n = 112	↓ 6 × Ara-C	PMBL	10%	Overall CR 80.4%
Med Age (range) 48 y (18-66)	↓ in vivo purged Auto PBSCT	Transformed T cell rich	8%	(ITT)
	↓ Ritux on days +8 and +18	aalPI	3%	Early TRM 4.5%
	↓ VP-16 + Cisplatin	2	66%	4-year EFS 73%
	↓ in vivo purged Auto PBSCT	3	34%	CI 64%-81%
	↓ Mito + Mel	Bulky disease	52%	4-year OS 76%
	↓ in vivo purged Auto PBSCT + Ritux on days +30 and +37 ± RT post-PBSCT for bulky dis.	BM Involvement	31%	CI 68%-85%
		Risk Factors Stage ≥ III	81%	
		LDH > normal	75%	
		≥ 2 Ex-nodal sites	30%	
		PS ≥ 2	68%	
[45] Glass 2006	4 × MegaCHOEP (After courses 1 and 3 Cy + VP-16 doses escalated)	Diagnoses de novo Aggress NHL	100%	[4.6 y (Not stated)]
2+	SC Mobilization	Hist. Subtypes DLBCL	73%	Completed Tx 81.8%
1999-2004 Prospective Multicenter (31) Enrolled n = 124 Included n = 112 DLBCL n = 77 (73%) (Stratified)	GF after courses 1 and 2 Auto PBSCT after courses 2, 3, and 4	T cell	13%	Overall CR 70%
Med Age (range) 44 y (18-60)		B cell unspecified	7%	(ITT)
		FL	4%	Overall TRM 4.5%
		MC	2%	
		Burkitt	1%	
		Bulky Disease	64%	DLBCL Only 5-year FFS 61.8%
		BM Involvement	70%	CI 50.4%-73.2%
		B Symptoms	65%	5-year OS 62.6%
				CI 50.7%-74.5%

(Continued)

Appendix C. (Continued)

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
		<i>Risk Factors</i> aalPI ≥3 20% Stage ≥III 61% LDH >normal 100% ≥2 Ex-nodal sites 33% PS ≥2 28%		
[46] Coso 2006	CEOP + GF (Cycles 1 & 2)	<i>Diagnoses</i> de novo HR NHL 100%		[2.5 y (1.8-5.3 y)]
2+	2x CEOP + VP-16 + Cisplatin + GF (Cycles 3 & 4)	<i>Hist. Subtypes</i> DLBCL 94% Anaplastic 6%		Completed Tx 83%
1999-2002 Prospective R-ISC 98 Trial Enrolled n = 36 Received Tandem Auto SCT n = 30 Received Ritux Post-Auto n = 24 Med Age (range) 42 y (20-59)	Auto PBSCT after cycles 3 & 4  4x Ritux	<i>Risk Factors</i> aalPI ≥3 36% Stage ≥III 89% LDH >normal 92% ≥2 Ex-nodal sites (n = 25) 28% PS ≥2 42%		Overall CR 72%  (ITT) 5-year EFS 63% CI 50%-81% 5-year OS 65% CI 52%-84%
				<u>Rec'd Ritux</u> (n = 24) 5-year EFS 82% CI 72%-100% 5-year OS 86% CI 68%-99%
[47] Haion 2001	<i>Induction</i> 4x ACVBP + GF	(Tandem Pts Only)		[3.5 y (Not stated)]
2+	<i>SC Mobilization</i> Cy + VP-16 + GF	<i>Diagnoses</i> de novo HR NHL 100%		(All 28 Pts)
1995-1997 Prospective Multicenter (4) Enrolled n = 36 Eligible for Auto SCT n = 28 Tandem Auto SCT n = 24 Med Age (range) 44 y (16-60)	<i>1st Auto PBSCT</i> HDT: BCV-Mito followed by 1 <sup>st</sup> reinfusion  <i>2nd Auto PBSCT</i> HDT: BCM Followed by 2nd reinfusion (med 62 days after 1st)	<i>Hist. Subtypes</i> DLBCL 73% T cell 27%  <i>Bulky Disease</i> 75%  <i>BM Involvement</i> 29%  <i>Risk Factors</i>		Completed Tx 86% Overall CR 61% Overall TRM 11% Overall Relapse 32%

(Continued)



## Appendix C. (Continued)

Study Design		Patient Outcomes	
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes
(This is the updated and published version of the Haioun et al. [48] abstract discussed in the original DLBCL EBR)	± GF	aaPI ≥3 100% Stage ≥III 100% LDH >normal 100% ≥2 Ex-nodal sites 71% PS ≥2 54%	<u>Tandem Only</u> (n = 24) 3-year OS 67% CI 43%-79%
<b>MULTICENTER STUDIES OF AUTOLOGOUS SCT AS FIRST-LINE THERAPY FOR DLBCL</b>			
[49] Mounier 2004	Induction 4× ACVB	Diagnoses de novo Aggress NHL	[6.5 y (.5-12.1 y)]
2++	HDT BEAM or CBV	Hist. Subtypes DLBCL 55% T cell 16% Burkitt 15% Immunoblastic 3% FL 2% Unclassified 9%	(All Pts) Overall CR 61% CRu 39%
1987-1993 GELA LNH-87 and LNH-93 trials Retrospective analysis Total n = 1734 Subgroup analyzed n = 330 DLBCL n = 172 (55%) (Stratified)	Auto SCT (61% BM) ± RT	Bulky Disease 62%	TRM 1%
Med Age (range) 39 y (16-60)		Risk Factors aaPI ≥3 66% Stage ≥III 75% LDH >normal 72% ≥2 Ex-nodal sites 43% PS ≥2 23%	Relapse 32% 5-year DFS 67 ± 5% 5-year OS 75 ± 5%
[50] Arranz 2008	Induction: 4× MegaChop + GF	Diagnoses de novo Aggress NHL	[2.8 y (Not stated)]
2++	HDT: BEAM	Hist. Subtypes DLBCL 86% PMBL 9% FL Grade 3 5%	Completed Tx 81%
2001-2005 GEL/TAMO Multicenter Prospective Enrolled n = 86	Auto SCT (94% PBSC)	Bulky Disease 42%	Overall CR 85%
Med Age (range) 53 y (18-68)		BM Involvement 29%	(ITT) TRM 7%
		B Symptoms 58%	5-year PFS 67% CI 55%-79%

(Continued)

**Appendix C. (Continued)**

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
		<i>Risk Factors</i> IPI ≥3 79% Stage ≥III 88% LDH >normal 82% PS ≥2 48%	5-year OS	74% CI 59%-87%
[51] Caballero 2003	<i>Induction</i> CHOP (51%) or other	<i>Diagnoses</i> DLBCL 100%	[2.3 y (0-14 y)]	
2+ 1994-1999 GEL/TAMO Multicenter Retrospective analysis Analyzed n = 452  Med Age (range) 42 y (15-73)  Med Dx to SCT (range) .92 y (0.1-16.6 y)	<i>HDT</i> BEAM (39%) or BEAC (33%) or CBV (10%) or other chemo (5%) or Cy + TBI (12%) ± GF  Auto SCT (52% PBSC)	<i>Status at SCT</i> CR I or PR I 53% >CR I or PR I 35% Refractory 12%  <i>Bulky Disease</i> 53%  <i>BM Involvement</i> 22%  <i>Prior Chemo Reg</i> ≥2 35%  <i>Risk Factors</i> aaIPI ≥2 61% Stage ≥III 73% LDH >normal 62% ≥2 Ex-nodal sites 28% PS ≥2 41%	TRM  Relapse  5-year DFS  5-year OS	11%  11%  43%  53%
<b>SINGLE CENTER STUDIES OF AUTO SCT AS FIRST-LINE THERAPY FOR DLBCL</b>				
[52] Papajik 2008	<i>HDS</i> PACEBO ± Ritux ↓ IVAM ↓ HAM  <i>HDT: BEAM</i>  Auto PBSC ± RT	<i>Diagnoses</i> <i>de novo</i> DLBCL 100%	[3.5 y (0.75-8.3 y)]	
2+ 1999-2006 Single Center Retrospective n = 55  Med Age (range) 41 y (19-62)		<i>Status at SCT</i> CR 35% PR 65%  <i>Bulky Disease</i> 55%  <i>BM Involvement</i> 18%  <i>Risk Factors</i> Age ≥40 y 53% IPI ≥3 36%	Overall CR  TRM  Relapse  5-year EFS  5-year OS	62%  0%  7%  76% CI 63%-89%  85% CI 73%-97%

(Continued)

## Appendix C. (Continued)

Study Design		Patient Outcomes	
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes
		Stage $\geq$ III	78%
		$\geq$ 2 Ex-nodal sites	58%
		LDH >normal	60%
[53] Stewart 2006	Induction 1 $\times$ CHOP ↓ 1x DICEP + GF	Diagnoses de novo Aggress NHL	[4.1 y (1.2-6.1 y)]
2+		Hist. Subtypes	Completed Tx 93%
1998-2004	HDT: BEAM	DLBCL	
Single Center		Burkitt	NRM 2%
Prospective	Auto PBSCT ± RT	FL Grade 3	4-year EFS 72%
n = 55		T cell	CI 60%-84%
Med Age (range) 44 y (20-63)		Bulky Disease	4-year OS 79%
		BM Involvement	CI 69%-90%
		Risk Factors	
		aaIPI $\geq$ 3	35%
		Stage $\geq$ III	91%
		LDH >normal	86%
		$\geq$ 2 Ex-nodal sites	66%
		PS $\geq$ 2	78%
[54] Vranovsky 2008	Induction MACOP-B (10 weeks)	Diagnoses de novo Aggress NHL	[2.9 y (1.3-9.3 y)]
2+	Consolidation 2x DHAP or mini-BEAM	Hist. Subtypes	Completed Tx 93%
1997-2005		DLBCL	
Single Center	HDT: BEM or CBV	T cell	100-day TRM 8.5%
Retrospective	Auto PBSCT + GF	Anaplastic	5-year PFS 66%
n = 47		MC	CI 49%-81%
Med Age (range) 44 y (20-60)		B Symptoms	5-year OS 59%
		Bulky Disease	CI 42%-76%
		BM Involvement	
		Risk Factors	
		aaIPI $\geq$ 3	47%
		Stage $\geq$ III	98%
		LDH > normal	89%
		PS $\geq$ 2	45%

(Continued)

**Appendix C. (Continued)**

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
[55] Bertz 2004	<i>Induction</i> 6x or 12x VACOP-B	<i>Diagnoses</i> de novo HG BCL	100%	[10.2 y (7.2-12.3 y)]
2+	<i>SC Mobilization</i> VP-16 + Ifo + Cisplatin + Epirubicin + GF	<i>Hist. Subtypes</i> DLBCL	61%	Completed Tx 100%
1992-1997 Single Center Retrospective n = 33	<i>HDT</i> BEAM or Bu + Cy	PMBL B Immunoblastic Unclassified B cell	21% 12% 6%	Overall CR 94% TRM 0%
Med Age (range) 43 y (19-56)	Auto SCT + GF ± RT	<i>Bulky Disease</i>	30%	10-y Relapse 16%
		<i>Risk Factors</i> AaPI ≥3 Stage ≥III LDH >normal ≥2 Ex-nodal sites PS ≥2	67% 54% 85% 27% 52%	10-year DFS 76% CI 67%-86% 10-year OS 79% CI 68%-89%
<b>PURGED AUTOLOGOUS SCT AFTER PRIMARY INDUCTION FAILURE</b>				
[56] Benjamin 2009	<i>Induction</i> CHOP (70%), R-CHOP (14%), or other (16%)	<i>Diagnoses</i> de novo DLBCL	100%	[7.3 y (0.1-16.3 y)]
2+	<i>PBSC Mobilization</i> Cy or VP-16	<i>Status at SCT</i> PR SD	51% 49%	Overall CR 77% NRM 12%
1988-2002 Single Center Retrospective n = 43	<i>HDT</i> Carmustine + VP-16 + Cy (60%) or TBI + VP-16 + Cy (35%) or Lomustine + Cy + VP-16 (5%)	<i>Med Chemo Cycles (range)</i> <i>Pre-SCT RT</i>	6 (2-12) 23%	5-year EFS 59% CI 42%-74%
Med Age (range) 43 y (19-64)	B-cell purged Auto SCT (86% PBSC) ± RT	<i>B Symptoms</i> <i>Bulky Disease</i>	60% 40%	5-year OS 69% CI 55%-83%
Med Dx to SCT (range) 0.73 y (0.2 -1.3 y)		<i>BM Involvement</i> <i>Risk Factors</i> aaPI ≥2 Stage ≥III ≥2 Ex-nodal sites	23% 33% 72% 26%	Secondary MDS 5%

(Continued)

## Appendix C. (Continued)

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
<b>INTENSIFIED OR HIGH DOSE SEQUENTIAL THERAPY + AUTO SCT AS SALVAGE THERAPY FOR DLBCL</b>				
[57] Cortelazzo 2001	<i>First-line Tx</i> CHOP or MACOP-B/VACOP-B or Chlorambucil or Flu	<i>Diagnoses</i> <u>Aggressive NHL</u>	100%	[2 y (0.5-14.5 y)]
2++		Relapsed	63%	Overall CR 90%
		Refractory	37%	
1985-1999 Retrospective Multicenter (9) n = 103	<i>Debulking</i> 2x APO	<i>Hist. Subtypes</i> DLBCL	74%	TRM 4%
	<i>HDS</i> HD Cy	T cell	26%	3-year EFS 44%
<i>Med Age (range)</i> 43 y (16-65)	↓ HD MTX + Vincristine	<i>Med Chemo Cycles (range)</i>	7 (3-17)	CI 34%-54%
	↓ HD VP-16 + GF	<i>B Symptoms</i>	15%	3-year OS 47%
	<i>HDT</i> HD Mito + Mel or BEAM or Thiotepa + L-PAM or HD Mel + TBI	<i>Bulky Disease</i>	31%	CI 36%-59%
	Auto (89% PBSCT) ± GF ± RT	<i>BM Involvement</i>	20%	Secondary Neoplasias 4%
		<i>Risk Factors</i> IPI ≥2	37%	
		Stage ≥III	65%	
		LDH >normal	27%	
		≥2 Ex-nodal sites	16%	
		PS ≥2	19%	
[58] Robertson 2005	<i>SC Mobilization</i> GF ± Cy	<i>Diagnoses</i> Aggressive NHL	100%	[4 y (Not stated)]
2++	<i>HDT</i> Augmented HD CBV	<i>Hist. Subtypes</i> DLBCL	73%	NRM 6%
1993-2001 Prospective, Single Center n = 67	Unpurged Auto PBSCT + GF	Burkitt	7.5%	3-year PFS 36% ± 6%
		Anaplastic	6%	3-year OS 46% ± 8%
		MC	4.5%	
		T Cell	4.5%	
<i>Med Age at SCT (range)</i> 52 y (23-72)	No adjuvant Ritux	Other	4.5%	Secondary Neoplasias 0%
		<i>Hist. Transformed Status at SCT</i> Refractory	16%	
		Early relapse	42%	
		Late relapse	27%	
		Other	28%	
			3%	

(Continued)

**Appendix C. (Continued)**

Study Design		Patient Outcomes		
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics		Follow-Up [Med (range)] & Patient Outcomes
		<i>Prior Chemo Reg &gt;2</i>	18%	
		<i>Bulky Disease</i>	3%	
		<i>LDH &gt;normal</i>	57%	
[59] Josting 2005  2+  Study dates not stated Prospective, Multicenter (13) n = 57  Med Age at SCT (range) 43 y (24-65)	<i>Debulking</i> 2× DHAP + GF  <i>HDS</i> HD Cy + GF ↓ HD MTX + Vincristine ↓ HD VP-16 + GF  <i>HDT: BEAM</i>  Auto PBSCT + GF ± RT	<i>Diagnoses</i> <u>Aggressive NHL</u> Refractory Relapsed  <i>Hist. Subtypes</i> DLBCL T Cell MC Other  <i>Hist. Transformed DLBC</i>  <i>Prior RT</i> <i>B Symptoms at Relapse</i> <i>Stage ≥III</i>	100% 40% 60%  73% 19% 2% 6%  19%  28% 34% 54%	[2.1 y (0.1-6.3 y)]  Overall CR TRM 2-year FFS 2-year OS  43% 0% 25% 47%
<b>AUTOLOGOUS SCT WITH RITUXIMAB AS SALVAGE THERAPY FOR DLBCL</b>				
[60] Alousi 2008  2+  1995-2005 Retrospective, Single Center n = 174  Med Age (range) 47 y (16-75)	1× Ritux 1 day prior to unspecified chemomobilization  Auto PBSCT + Ritux on days 1 and 8 after PBSCT	<i>Diagnoses</i> DLBCL  <i>Hist. Subtypes</i> DLBCL DLBCL-transformed FL  <i>Status at SCT</i> CR CRu PR  <i>Prior Chemo Reg &gt;2</i>  <i>Ritux pre/post PBSCT</i>  <i>Risk Factors</i> Age ≥60 IPI ≥1 LDH >Normal	100%  78% 22%  12% 38% 50%  30%  37%  51% 30% 21%	[5.5 y (1.4-12.2 y)]  6-year TRM 5-year PFS 5-year OS  6% CI 3%-11% 48% CI 40%-56% 62% CI 54%-69%

(Continued)

## Appendix C. (Continued)

Study Design		Patient Outcomes	
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes
[61] Horwitz 2004	<i>SC Mobilization</i> Cy + GF	<i>Diagnoses</i> Aggressive NHL	[2.5 y (Not stated)] (ITT)
2+	<i>HDT</i> Carmustine + VP-16 + Cy or TBI + VP-16 + Cy (8.5%)	<i>Hist. Subtypes</i> DLBCL	NRM
1998-2000 Prospective, Single Center n = 35	Purged (mAbs) Auto PBSCT ± GF	71%	3%
Med Age at SCT (range) 51 y (28-70)	↓ Day 42 Ritux weekly x 4 ↓ Day 180 Ritux weekly x 4	Other B cell Transformed MC	2-year EFS CI 70-95%
		9%	83%
		<i>Status at SCT</i> CRI	2-year OS CI 78%-99%
		20%	88%
		Refractory Relapsed	<u>DLBCL Only</u> 2-year EFS
		29% 51%	81%
		<i>Med Chemo Reg (range)</i> 2 (Not stated)	CI 64%-98%
			2-year OS CI 70%-100%
			85%
			CI 70%-100%
<b>INVOLVED-FIELD RADIATION PRIOR TO HDT + AUTOLOGOUS SCT AS THERAPY FOR DLBCL</b>			
[62] Hoppe 2008	<i>First-Line Therapy</i> CHOP (81%) or Dox-based (18%) or Unknown (1%) ± RT (10%)	<i>Diagnoses</i> DLBCL	[5 y (1.7-15.6 y)]
2+			TRM
1990-2006 Retrospective, Single Center n = 164	Pretransplant IFRT 30 Gy (54%) or 18 Gy IFRT + 12 Gy TBI (as part of HDT regimen) (46%)	<i>Hist. Subtypes</i> DLBCL PMBL	6%
Med Age (range) 46 y (17-73)		87%	Relapsed
		13%	41%
		<i>Status at SCT</i> Refractory Relapsed	5-year PFS 53%
		24%	58%
		59%	58%
	<i>HDT</i> BEAM (42%) Ifo + VP-16 (22.5%) Cy + VP-16 (22.5%) CBV (7%) Other (6%) (± 12 Gy TBI)	Transformed (DLBCL at relapse)	5-year OS
		8.5%	58%
		High risk (Stage IV bulky or high IPI score)	<u>Secondary</u> <u>Neoplasias</u>
		8.5%	7%
		<i>Med IFRT dose (range)</i> 30 Gy (21-45)	MDS
		21 days (12-98)	2%
	Auto (85% PBSCT)	<i>Med IFRT to SCT (range)</i>	
		12%	
		<i>Bulky Disease</i>	
		7%	
		<i>BM Involvement</i>	

(Continued)

**Appendix C. (Continued)**

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
		<i>Risk Factors</i> aalPI ≥2 30% Stage ≥III 49% LDH > normal 49% Ex-nodal sites involved 61% PS ≥2 13%		
<b>MULTICENTER STUDIES OF AUTOLOGOUS SCT AS SALVAGE THERAPY FOR DLBCL</b>				
[63] Vose 2004	HDT Chemotherapy only (32%) TBI-based regimen (68%)	Diagnoses Aggress. Diffuse NHL 100%		[3.7 y (0.1-10.3 y)]
2++		Hist. Subtypes DLBCL 60%	TRM	6%
1989-1996 ABMTR Multicenter (93) Retrospective n = 429	Auto BMT (49%) Auto PBSCT (41%) Auto with both BM and PBSC (10%) ± GF	Diffuse mixed cell 20% Immunoblastic 20%	3-yr Rel/Prog	63% CI 58%-68%
Med Age at SCT (range) 49 y (5-71)		Status at SCT CR2 35% Relapse I 65%	3-year PFS	31% CI 27%-36%
		B Symptoms 35%	3-year OS	44% CI 33%-55%
		BM Involvement 14%		
		Risk Factors Age ≥40 y 72% Stage ≥III 62% LDH >normal 31% Ex-nodal sites involved 17% Karnofsky PS <90% 34%		
[64] Vose 2001	HDT TBI + Other (27%) BEAC (27%) CBV (19%) Other (27%)	Diagnoses Aggress. Diffuse NHL 100%		[3.4 y (2-8.6 y)]
2+		Hist. Subtypes DLBCL 60%	Overall CR	44%
1985-1995 ABMTR Multicenter (48) Retrospective n = 184	Auto BMT (56%) Auto PBSCT (37%) Auto with both BM + PBSC (7%) ± GF	Diffuse mixed cell 15% Immunoblastic 25%	100-Day NRM	39%
Med Age at SCT (range) 42 y (9-69)	± RT	Status at SCT Sensitive 60% Resistant 28%	5-year PFS	31% CI 24%-38%
			5-year OS	37%

(Continued)



## Appendix C. (Continued)

Study Design		Patient Outcomes		
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics		Follow-Up [Med (range)] & Patient Outcomes
		Unknown	12%	CI 30%-45%
		<i>B Symptoms</i>	54%	
		<i>BM Involvement</i>	21%	
		<i>Prior Chemo Reg</i> ≥2	36%	
		<i>Risk Factors</i>		
		Stage ≥III	63%	
		LDH >normal	67%	
		Karnofsky PS <80%	14%	
[65] Rodriguez 2004	<i>First-line Tx</i> CHOP (59%) Other (41%)	<i>Diagnoses</i> DLBCL	100%	[2.4 y (0.1-6.7 y)]
2+	<i>HDT</i>	<i>Status at SCT</i> PR	65%	Overall CR 54%
1990-1999 GEL/TAMO Multicenter	BEAM (44%) BEAC (28%)	Treatment failure	35%	TRM 8%
Retrospective n = 114	Cy + TBI (12%) CBV (10%) Other (6%)	<i>B Symptoms</i>	53%	5-year DFS (for CR pts) 63%
Med Age at SCT (range) 40 y (13-73)	Auto BMT (30%) Auto PBST (63%) Auto with both BM + PBST (7%) ± GF	<i>BM Involvement</i> <i>Bulky Disease</i> <i>Prior Chemo Reg</i> ≥2	19% 69% 56%	5-year OS 43%
		<i>Risk Factors</i>		
		aalPI ≥2	73%	
		Stage ≥III	75%	
		LDH >normal	72%	
		Ex-nodal sites ≥2	31%	
		PS ≥2	53%	
<b>SINGLE-CENTER STUDIES OF AUTOLOGOUS SCT AS SALVAGE THERAPY FOR DLBCL</b>				
[66] Lerner 2007	<i>First-line Tx</i> CHOP (61%) Other anthracycline-based regimen (34%) Other (4%)	<i>Diagnoses</i> DLBCL	100%	[5 y (1-14.2 y)]
2++	<i>HDT</i>	<i>Hist. Subtypes</i> DLBCL	66%	Overall CR 91%
1984-2002 Retrospective, Single Center	TBI-based regimen (74%)	Diffuse mixed cell Immunoblastic	14% 16%	Pre 1993 TRM 19%

(Continued)

Appendix C. (Continued)

Study Design		Patient Outcomes			
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics		Follow-Up [Med (range)] & Patient Outcomes	
Analyzed n = 80	Chemotherapy only (26%)	Anaplastic	4%	Post 1993 TRM	2%
Med Age at SCT (range) 47 y (19-68)	Auto BMT (51%) Auto PBSC (45%) Auto with both BM + PBSC (4%) ± GF	Response to Salvage		5-year PFS	32%
		CR	34%	CI 22-42%	
		PR	66%	5-year OS	38%
		B Symptoms	25%	CI 27-50%	
		BM Involvement	10%		
		Risk Factors			
		Age > 60 y	15%		
		IPI ≥2	13%		
		Stage ≥III	48%		
		LDH >normal	72%		
Ex-nodal sites ≥2	20%				
		PS ≥2	20%		
[67] Sohn 2009	<u>DLBCL Pts. Only</u>	<u>Diagnoses</u>		[Minimum 10 months (Not stated)]	
2++	HDT	DLBCL	100%	<u>DLBCL Only</u>	
1993-2006 Retrospective, Single Center n = 77 DLBCL n=54 (70%) (Stratified)	BEAM (41%) BEAC (41%) Bu + Cy + VP-16 ± Yttrium-90 (18%)	Hist. Subtypes		Overall CR	61%
		DLBCL	70%	2-year EFS	40%
	Auto SCT	T Cell	30%	CI 27%-53%	
<u>DLBCL Pts. Only</u>		<u>DLBCL Pts. Only</u>		2-year OS	46%
Med Age at SCT (range) 48 y (15-68)		<u>Status at SCT</u>		CI 33%-59%	
		CR1	15%		
		PR1	24%		
		CR2	15%		
		PR2	29%		
		Refractory	17%		
		<u>Timing of SCT</u>			
		Front-line therapy	30%		
		First relapse	70%		
		<u>Risk Factors</u>			
		Age >60 y	15%		
		aalPI ≥2	24%		
		IPI ≥3	17%		
		Stage ≥III	33%		
		LDH >normal	57%		
		Ex-nodal sites ≥2	13%		
		PS ≥2	6%		

(Continued)

## Appendix C. (Continued)

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
[68] Hamlin 2003	Second-line Tx ICE ± RT	Diagnoses DLBCL	100%	[4 y (0.67-8.2 y)]
2+ 1993-2000 Retrospective, Single Center n = 150 Med Age at SCT (range) 49 y (16-68)	HDT Chemotherapy only (57%) TBI-based regimen (43%)  Auto SCT	Status at SCT Relapsed Refractory  BM Involvement  Risk Factors Age ≥60 y aalPI ≥2 Stage ≥III LDH <normal Ex-nodal sites ≥2 Karnofsky PS <80%	55% 45%  22%  17% 60% 78% 58% 44% 44%	Completed Tx (ITT) 4-year PFS 4-year OS  28% 34%
[69] Kuruville 2008	DLBCL Pts. Only	Diagnoses Rel/Ref B-cell NHL	100%	[1.8 y (0.1-7.8 y)]
2+ 1995-2004 Retrospective, Single Center n = 180 DLBCL n = 143 (79%) (Stratified) DLBCL Pts. Only Med Age at SCT (range) 53 y (21-66)	Initial Chemotherapy CHOP or CHOP-like (89%) Ritux + CHOP (10%) ABVD (1%)  Salvage Tx DHAP, ESHAP, GDP, or mini-BEAM + GF  2nd Line of Salvage Tx (34%)  HDT VP-16 + Mel + GF  Auto BMT ± RT	Hist. Subtypes DLBCL PMBL  DLBCL Pts. Only  Response to Salvage CR or CRu PR SD PD  Prior Radiation  B Symptoms Bulky Disease  Risk Factors Age >60 y IPI ≥2 Stage ≥III LDH >normal Ex-nodal sites ≥2 PS ≥2	79% 21%  12% 36% 17% 35%  23%  13% 21%  15% 42% 49% 59% 9% 19%	DLBCL Only Completed Tx TRM 2-year PFS 2-year OS  50% 1% 36% 53%

(Continued)

**Appendix C. (Continued)**

Study Design		Patient Outcomes	
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes
[70] Song 2003	<i>Salvage Tx</i> Cisplatin-based regimen or mini-BEAM	<i>Diagnoses</i> Rel/Ref NHL 100%	[2.8 y (0.1-13.7 y)]
2+ 1987-2001 Retrospective, Single Center n = 133 DLBCL n = 97 (73%) (Stratified) <u>DLBCL Pts. Only</u> Med Age at SCT (range) 46 y (19-65)	<i>HDT</i> VP-16 + Mel ± TBI  <u>SC Source for DLBCL Pts. Only</u> Auto BMT (45%) Auto PBST (41%) Auto with both BM + PBSC (14%)	<i>Hist. Subtypes</i> DLBCL 73% T Cell 27%  <u>DLBCL Pts. Only</u>  <i>Response to Salvage</i> CR 37% PR 57% <PR 6%  <i>Risk Factors</i> Stage ≥III 42% LDH >normal 12% Ex-nodal disease 25%	<u>DLBCL Only</u> Completed Tx 50% TRM 7% Relapsed 46% 3-year EFS 42% CI 32%-53% 3-year OS 53% CI 42%-64%
[71] Kewalramani 2006	<i>DLBCL HDT</i> TBI-based regimen (56%) Chemotherapy only (44%)  Auto SCT	<i>Diagnoses</i> Rel/Ref NHL 100%  <i>Hist. Subtypes</i> DLBCL 78% T Cell 22%  <u>DLBCL Pts. Only</u>  <i>Response to Induction</i> Refractory 30% Relapse 70%  <i>Response to Salvage</i> CR 41% PR 59%  <i>Risk Factors</i> aaIPI ≥3 54% IPI ≥3 34%	[6.3 y (Not stated)]  <u>DLBCL Only</u> 5-year PFS 34% 5-year OS 39%
[72] McCoy 2004	<i>PBSC Mobilization</i> Cy + GF  <i>HDT</i> Thiotepa + VP-16  Auto SCT (BM or PBSC or both)	<i>Diagnoses</i> Relapsed NHL 100%  <i>Hist. Subtypes</i> DLBCL 76% FL 9% Other Diffuse 7%	[2.8 y (0.3-13.6 y)]  Day-100 CR Rate 62% NRM 8%

(Continued)

## Appendix C. (Continued)

Study Design			Patient Outcomes	
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
n = 65 Med Age at SCT (range) 49 y (19-64)		Anaplastic T Cell 5% 3%	3-year EFS	32% CI 20%-45%
		Status at SCT CR1 CR2 PR (includes 12 PIF) <PR (includes 8 PIF)	3-year OS	40% CI 26%-53%
		Med Chemo Reg (range) 2 (1-6)		
		Stage ≥III 65%		
[73] Usui 2005	CHOP or BEAC for induction EPOCH for salvage	Diagnoses Aggressive NHL 100%	[2.8 y (.3-13.6 y)]	
2+ 1991-2001 Prospective, Single Center n = 56 Med Age at SCT (range) 46 y (20-61)	HDT ACNU Auto PBST (61%) Auto BMT (5%) Auto with both BM + PBST (34%) ± RT	Hist. Subtypes DLBCL FL Other Diffuse Immunoblastic Lymphoblastic Burkitt 2%	Day-100 CR Rate NRM 3-year EFS 3-year OS	62% 8% 32% CI 20%-45% 40% CI 26%-53%
		Status at SCT CR1 ≥CR2 PR1 ≥PR2 No response Med PS (range)		
[74] Smith 2009	PBSC Mobilization VP-16 ± GF	Diagnoses DLBCL 100%	[2.2 y (0.3-5 y)]	
2+ 2003-2008 Retrospective, Single Center n = 56 Med Age at SCT (range) 57 y (30-72)	HDT Bu + VP-16 + Cy Auto PBST + GF	Hist. Subtypes de novo DLBCL HT DLBCL (from FL) SCT as Front-line Tx Prior Chemo Reg ≥3 Prior mAbs Tx Refractory at SCT	de novo DLBCL 3-year RFS 3-year OS HT DLBCL 3-year RFS 3-year OS	59% 59% 64% 63%

(Continued)

**Appendix C. (Continued)**

Study Design		Patient Outcomes	
(Ref #), Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes
		<i>Bulky Disease</i>	18%
		<i>Risk Factors</i>	
		IPI ≥3	20%
		LDH >Normal	63%
		Karnofsky PS <80%	27%
[75] Escalon 2009	<i>Salvage Tx:</i> Not specified	<i>Diagnoses</i>	[2.8 y (0.3 – 13.6 y)]
	<i>PBSC Mobilization:</i> GF	Relapsed NHL	100%
2+		<i>Hist. Subtypes</i>	Day-100 NRM
	<i>HDT</i>	DLBCL	9%
2000-2005	Bu + Cy	FL	<u>DLBCL Only</u>
Retrospective, Single Center		MC	
n = 43	Auto PBSCT	T Cell	3-year EFS
DLBCL n = 28 (65%) (Stratified)	+ GF		CI 18%-53%
Med Age at SCT (range) 50 y (20-68)		<i>Prior Chemo Reg &gt;2</i>	3-year PFS
			41%
		<i>Status at SCT</i>	CI 21%-59%
		CR	58.5%
		CRu	2.5%
		PR	30%
		SD	9%
		<i>Risk Factors</i>	
		Age >60	21%
		Stage ≥3	69%
[76] Mey 2007	<i>HDT</i> Mega-CHOEP or BEAM or CEI or CEIAP or IC	<i>Diagnoses</i> DLBCL	[3.5 y (0.8-9.2 y)]
2+	Auto PBSCT ± GF	<i>Status at SCT</i>	TRM
		CR	12%
1996-2004		PR	60%
Retrospective, Single Center		SD	16%
n = 25		PD	16%
Med Age at SCT (range) 43 y (18-69)		<i>BM Involvement</i>	12%
		<i>Bulky Disease</i>	40%
		<i>Prior Chemo Reg ≥2</i>	48%
		<i>Risk Factors</i>	
		Age >50 y	40%
		IPI ≥3	52%
		Stage ≥III	56%

(Continued)

## Appendix C. (Continued)

(Ref #), Qual. & Strength of Evidence,* and Patient Population	Study Design		Patient Outcomes	
	Protocol	Disease and Transplant Characteristics	Follow-Up [Med (range)] & Patient Outcomes	
		LDH >normal	92%	
		Ex-nodal sites $\geq 2$	60%	
		PS $\geq 2$	4%	
[77] Waheed 2004	PBSC Mobilization GF $\pm$ Cy + VP-16	Diagnoses NHL	63%	[7.6 y (1.9-12.3 y)]
		Hodgkin Disease	37%	
2–	HDT Thiotepa + Mito + Carboplatin	NHL Hist. Subtypes DLBCL	86%	Overall TRM 4%
1990-2001	Auto BMT (18%)	T Cell	5%	Overall 5-y PFS 43%
Retrospective, Single Center n = 100	Auto PBSCT (50%)	FL Grade 3	3%	<u>NHL Only</u>
NHL n = 63 (63%) (Stratified)	Auto with both BM + PBSC (32%)	MC	3%	Median OS 8.9 years
DLBCL (86% of NHL)		Lymphoblastic	1.5%	
		Anaplastic	1.5%	
Med Age at SCT (range) 44 y (21-72)		Med Serum LDH (range)	181 (86-667)	
		B Symptoms	29%	
		Prior RT	42%	
		Prior Chemo Reg $\geq 2$	90%	
		Risk Factors Stage $\geq III$	69%	
		PS $\geq I$	45%	

aalPI indicates age-adjusted International Prognostic Index; ABMTR, Autologous Blood and Marrow Transplant Registry; ACNU, nimustine/etoposide/carboplatin/cyclophosphamide; ACVB, doxorubicin/mitoxantrone/cyclophosphamide; ACVPB, doxorubicin/cyclophosphamide/vindesine/bleomycin/methylprednisolone; APO, doxorubicin/vincristine/prednisone; Ara-C, cytarabine; Auto, autologous; BCL, B cell lymphoma; BCM, busulfan/carboplatin/melphalan; BCV-Mito, carmustine/cyclophosphamide/etoposide/mitoxantrone; BEAC, bleomycin/etoposide/doxorubicin/cyclophosphamide; BEAM, carmustine/etoposide/cytarabine/melphalan; BEM, carmustine/etoposide/melphalan; BM(T), bone marrow (transplantation); Bu, busulfan; CBV, cyclophosphamide/carmustine/etoposide; CEI, carboplatin/etoposide/ifosfamide; CEIAP, carboplatin/etoposide/ifosfamide/adriamycin/dexamethasone; CEOP, cyclophosphamide/epirubicin/vincristine/prednisone; CHOP, cyclophosphamide/doxorubicin/vincristine/prednisone; CI, 95% confidence interval; CR, complete remission; CRu, unconfirmed complete remission; Cy, cyclophosphamide; DHAP, dexamethasone/cytarabine/cisplatin; DICEP, dose-intensive cyclophosphamide/etoposide/cisplatin; DLBCL, diffuse large B cell lymphoma; Dx, diagnosis; EFS, event-free survival; EPOCH, etoposide/prednisone/vincristine/cyclophosphamide/doxorubicin; ESHAP, etoposide/cisplatin/methylprednisolone/cytarabine; FFS, failure-free survival; FL, follicular lymphoma; Flu, fludarabine; GDP, gemcitabine/dexamethasone/cisplatin; GEL/TAMO, Spanish Group for Lymphoma and Autologous Transplantation; GF, growth factor; GITIL, Gruppo Italiano Terapie Innovative nei Linfomi; HAM, cytarabine/mitoxantrone; HDS, high-dose sequential therapy; HDT, high-dose therapy; HG, high grade; HLA, human leukocyte antigen; HR, high risk; IC, thiotepa/busulfan/cyclophosphamide; Ifo, ifosfamide; IFRT, involved-field radiotherapy; IPI, International Prognostic Index; IVAM, ifosfamide/etoposide/cytarabine/methotrexate; LDH, lactate dehydrogenase; MACOP-B, methotrexate/doxorubicin/cyclophosphamide/vincristine/prednisone/bleomycin; MC, mantle cell; MegaCHOEP, cyclophosphamide/adriamycin/vincristine/etoposide/prednisone; Mel, melphalan; Mito, mitoxantrone; NRM, nonrelapse mortality; PACEBO, prednisone/doxorubicin/cyclophosphamide/etoposide/bleomycin/vincristine; PBSC(T), peripheral blood stem cell (transplantation); PFS, progression-free survival; PMBL, primary mediastinal large B cell lymphoma; PR, partial remission; PS, performance status; ritux, Rituximab; OS, overall survival; R-ISC, ritux + intensive sequential chemotherapy; RT, radiation therapy; SCT, stem cell transplantation; SD, stable disease; SFGM-TC, Société Française de Greffe de Moelle et de Thérapie Cellulaire; TBI, total-body irradiation; TRM, treatment-related mortality; Tx, treatment; VACOP-B, doxorubicin/cyclophosphamide/vincristine/bleomycin; VP-16, etoposide.

\*Quality and strength of evidence definitions are listed in Table I.

**Appendix D. Noncomparative Studies of Allogeneic SCT for DLBCL**

Study Design		Patient Outcomes			
[Ref #], Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics		Follow-Up [Med (Range)] & Patient Outcomes	
<b>UPDATE DATA (POST-2000 STUDIES)</b>					
<b>MYELOABLATIVE ALLOGENEIC SCT FOR DLBCL</b>					
[78] Doocey 2005	Prior Auto SCT	0%	Diagnoses Rel/Ref Aggressive NHL	100%	[5 y (1.1-11.4 y)]
2++	Conditioning Cy + TBI	82%	Hist. Subtypes DLBCL	52%	1-year TRM 25%
1987-2003	Cy + TBI + VP-16	14%	Transformed B cell	37%	CI 13%-39%
Single Center	Bu + Cy	4%	T cell lymphoma	11%	5-year EFS 43%
Retrospective	Donors		Response to Initial Chemo		CI 27%-58%
n = 44	MRD	75%	CR	62%	5-year OS 48%
Med Age (range) 40 y (15-56)	MUD	21%	PR	20%	CI 32%-63%
Med Chemo Regimens (range) 2 (1-3)	MMUD	4%	Stable/Progressive Disease	18%	5-year Relapse 32%
Med Relapse to SCT (range) 0.33 y (0.1-1.1)	BM (89%) or PB Allo SCT		Disease Status at SCT		CI 18%-47%
			PR I	20%	
			Relapse—sensitive	60%	
			Relapse—resistant	11%	
			PIF	9%	
			BM Involvement	32%	
			Risk Factors		
			Transformed from FL	37%	
			Stage ≥III	80%	
<b>REDUCED INTENSITY CONDITIONING ALLOGENEIC SCT FOR DLBCL</b>					
[79] Sirvent 2009	Prior Auto SCT	79%	Diagnoses Ref/Rel DLBCL	100%	[4.1 y (0.8-8 y)]
2++	Conditioning Flu-based chemo	74%	Disease Status at SCT		1-year NRM 23%
1998-2007	Flu + 2 Gy TBI	25%	CR	47%	CI 12%-34%
SFGM-TC Multictr (23)	Cy + 6 Gy TBI	1%	PR	34%	2-year PFS 44%
Retrospective	(No Ritux)		Stable or PD	17%	CI 32%-56%
n = 68	Donors		>2 Prior Chemo Reg	37%	2-year OS 49%
Med Age (range) 48 y (17-66)	MRD	84%	Risk Factors		CI 37% -61%
Med Dx to SCT (range) 1.8 y (0.4- 10.4 y)	MUD	8%	Transformed from FL	21%	2-year Relapse 41%
	MMUD	2%	IPI ≥2	47%	CI 28 - 54%
	T cell depletion (ATG or mAbs)	56%			
	PB (82%), BM, or cord Allo SCT				

(Continued)



## Appendix D. (Continued)

Study Design				Patient Outcomes	
[Ref #], Qual. & Strength of Evidence,* and Patient Population	Protocol	Disease and Transplant Characteristics		Follow-Up [Med (Range)] & Patient Outcomes	
[80] Kusumi 2005	<u>Aggress/Highly Aggress Only</u>		<i>Diagnoses</i>	[2 y (.3 – 3.7 y)]	
2+	Prior Auto SCT	45%	Indolent NHL	40%	
1999-2002	Prior RT	37%	Aggressive NHL	52%	
Multictr (32)			Highly Aggressive NHL	8%	
Retrospective	<u>Conditioning</u>		<u>Aggressive/Highly Aggress</u>		<u>Overall</u>
Total n = 112	Flu-based chemo	79%	<u>Chemosensitivity at SCT</u>		3-year TRM
Aggressive/Highly Aggress. n = 67 (60%)	Flu + 2 Gy TBI	4%	Sensitive	57%	<u>Aggress. Only</u>
DLBCL n = 31 (28% of total; 46% A/HA)	2 Gy TBI	8%	Resistant	43%	3-year OS
(OS Stratified)	Other	9%	<i>Risk Factors</i>		CI 35%-61%
	<i>Donors</i>		Transformed from FL	6%	<u>DLBCL Only</u>
<u>Aggressive/Highly Aggressive Only</u>	MRD (sibling)	81%	LDH > normal	51%	3-year OS
Med Age (range) 50 y (22-72)	MMRD	8%	PS ≥2	21%	CI 13%-49%
Med Dx to SCT (range) 1.6 y (0.3-12.1 y)	MUD	11%			
Med Chemo Regimens (range) 4 (1-14)	T cell depletion	0%			
	PB (80%) , BM, or cord Allo SCT				
[81] Thomson 2009	Prior Auto SCT	71%	<i>Diagnoses</i>	[4.3 y (1.5–7.4 y)]	
2+	Prior Ritux	56%	de novo DLBCL	62%	
1998-2006			DLBCL, transformed FL	38%	
Multictr (8)	<u>Conditioning</u>		<u>Chemosensitivity at SCT</u>		4-year NRM
Retrospective	Flu + Mel +		Sensitive	83%	32%
n = 48	Alemtuzumab	100%	Resistant	17%	4-year PFS
Med Age (range) 46 y (23-64)	<i>Donors</i>				4-year OS
Med Dx to SCT (range) 4.2 y (0.6-17.3 y)	MRD	60%			4-year Relapse
Med Chemo Regimens (range) 5 (2-7)	MMRD	2%			
	MUD	21%			
	MMUD	17%			
	PB (83%) or BM				
	Allogeneic SCT				
[82] Rezvani 2008	Prior Auto SCT	75%	<i>Diagnoses</i>	[3.8 y (0.5-5.8 y)]	
2+			Relapsed DLBCL (n = 31)	97%	
1996-2006	<u>Conditioning</u>		Burkitt (n = 1)	3%	
	Flu + 2 Gy TBI	91%	<i>Disease Status at SCT</i>		3-year NRM
	2 Gy TBI	9%	CR	44%	25%
					3-year PFS
					35%

(Continued)

**Appendix D. (Continued)**

[Ref #], Qual. & Strength of Evidence,* and Patient Population	Study Design				Patient Outcomes	
	Protocol		Disease and Transplant Characteristics		Follow-Up [Med (Range)] & Patient Outcomes	
Multictr (11) Prospective n = 32  Med Age (range) 52 y (18-67)  Med Dx to SCT (range) 3.4 y (0.6-7.9 y)  Med Chemo Regs (range) 4 (2-7)	<i>Donors</i>		PR	28%	3-year OS	45%
	MRD	66%	Refractory	28%		
	MUD	25%	<i>Chemosensitivity at SCT</i>		3-year Relapse	41%
	MMUD	9%	Sensitive	72%		
	PB (97%) or BM Allogeneic SCT		Resistant	28%		
			<i>BM Involvement at SCT</i>	6%		

Allo indicates allogeneic; ATG, antithymocyte globulin; Auto; autologous; Bu, busulfan; CBV, cyclophosphamide/carmustine/etoposide; CI, 95% confidence interval; CR, complete remission; Cy, cyclophosphamide; DLBCL, diffuse large B cell lymphoma; Dx, diagnosis; EFS, event-free survival; HLA, human leukocyte antigen; IPI, International Prognostic Index; LDH, lactate dehydrogenase; MRD, matched-related donor; MMRD, mismatched related donor; MMUD, mismatched unrelated donor; MUD, matched unrelated donor; NRM, nonrelapse mortality; PFS, progression-free survival; PS, performance status; Ritux, rituximab; OS, overall survival; RT, radiation therapy; SFGM-TC, Société Française de Greffe de Moelle et de Thérapie Cellulaire; SCT, stem cell transplantation; TBI, total-body irradiation; TRM, treatment-related mortality; Tx, treatment.

\*Quality and strength of evidence definitions are listed in Table 1.